Journal of the American College of Cardiology © 2012 by the American College of Cardiology Foundation Published by Elsevier Inc.

Vol. xx, No. x, 2012 ISSN 0735-1097/\$36.00 doi:10.1016/j.jacc.2012.03.007

# Safety and Efficacy of a Monoclonal Antibody to **Proprotein Convertase Subtilisin/Kexin Type 9 Serine** Protease, SAR236553/REGN727, in Patients With **Primary Hypercholesterolemia Receiving Ongoing Stable Atorvastatin Therapy**

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Objectives	The primary objective of this study was to evaluate the low-density lipoprotein cholesterol (LDL-C)-lowering eff cacy of 5 REGN727/SAR236553 (SAR236553) dosing regimens versus placebo at week 12 in patients with LDL-C $\geq$ 100 mg/dl on stable atorvastatin therapy. Secondary objectives included evaluation of effects on othe lipid parameters and the attainment of LDL-C treatment goals of <100 mg/dl (2.59 mmol/l) and <70 mg/dl (1.81 mmol/l).
Background	Serum proprotein convertase subtilisin kexin 9 (PCSK9) binds to low-density lipoprotein receptors, increasing serum LDL-C. SAR236553 is a fully human monoclonal antibody to PCSK9.
Methods	This double-blind, parallel-group, placebo-controlled trial randomized 183 patients with LDL-C $\geq$ 100 mg/dl (2. mmol/l) on stable-dose atorvastatin 10, 20, or 40 mg for $\geq$ 6 weeks to subcutaneous placebo every 2 weeks (Q2W); SAR236553 50, 100, or 150 mg Q2W; or SAR236553 200 or 300 mg every 4 weeks (Q4W), alternati with placebo for a total treatment period of 12 weeks.
Results	SAR236553 demonstrated a clear dose-response relationship with respect to percentage LDL-C lowering for both Q2W and Q4W administration: 40%, 64%, and 72% with 50, 100, and 150 mg Q2W, respectively, and 43% and 48 with 200 and 300 mg Q4W. LDL-C reduction with placebo at week 12 was 5%. SAR236553 also substantially reduced non-high-dose lipoprotein cholesterol, apolipoprotein B, and lipoprotein(a). SAR236553 was generally well to erated. One patient on SAR236553 experienced a serious adverse event of leukocytoclastic vasculitis.
Conclusions	When added to atorvastatin, PCSK9 inhibition with SAR236553 further reduces LDL-C by 40% to 72%. These additional reductions are both dose- and dosing frequency-dependent. (Efficacy and Safety Evaluation of SAR236553 [REGN727] in Patients With Primary Hypercholesterolemia and LDL-cholesterol on Stable Atorvastatin Therapy; NCT01288443) (J Am Coll Cardiol 2012;xx:xxx) © 2012 by the American College of Cardiolog Foundation

Cardiovascular disease remains the leading cause of death in most Western nations, and is increasing rapidly in the developing world. Reduction of low-density lipoprotein

From the \*Virginia Commonwealth University and National Clinical Research, Inc. Richmond, Virginia; †Jacksonville Center For Clinical Research, Jacksonville, Florida; ‡The Christ Hospital Heart and Vascular Center/The Lindner Research Center, Cincinnati, Ohio; §Metabolic and Atherosclerotic Research Center and Medpace Reference Laboratories, Cincinnati, Ohio; and ||Sanofi, Paris, France. This study was financially supported by Sanofi US, Bridgewater, New Jersey, and Regeneron Pharmaceuticals Incorporated, Tarrytown, New York. Dr. McKenney is an employee of a research company that has received research funding from Regeneron and Sanofi; Dr. Koren is an employee of a research organization that receives research funding from Regeneron and Sanofi; Dr. Hanotin and Ms. Ferrand are both employees of Sanofi; Dr. Stein is affiliated with the Metabolic and Atherosclerosis Research Center, cholesterol (LDL-C), especially with statins, is widely recognized as the single most effective intervention to reduce cardiovascular risk (1-4), and clinical trial evidence

Manuscript received February 6, 2012; revised manuscript received March 7, 2012, accepted March 13, 2012.

and Medpace Research Laboratories. He has received research grants related to trials of REGN727/SAR236553 from Regeneron and Sanofi, as well as consultancy fees from Sanofi. In addition, he has received grants for trials of numerous lipid-modifying agents, consultancy fees, and honoraria for professional input regarding lipid-altering agents, and/or has delivered lectures for AACC, Abbott, Amgen, AstraZeneca, AQ:1 Bristol-MyersSquibb, the Food and Drug Administration, F. Hoffman La Roche, Genentech, Genzyme, GSK, ISIS, Merck & Co., the National Lipid Association, Novartis, Sankyo, Schering-Plough, and Wyeth. Dr. Kereiakes has stated that he has no relationships relevant to the contents of this paper to disclose.

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58 59	Abbreviations and Acronyms	st: re
60	AE = adverse event	L
61	Apo = apolipoprotein	te
62 (2	HDL-C = high-density	In F.
63 64	lipoprotein cholesterol	E
65	LDL-C = low-density	ac <
66	lipoprotein cholesterol	hi
67	LDLR = low-density	an
68	lipoprotein receptor	re
69	Lp(a) = lipoprotein a	ev
70	mITT = modified intent-to-treat	in
71	PCSK9 = proprotein	lo
72	convertase subtilisin/kexin	cr
73	type 9 serine protease	ev
74 75	Q2W = every 2 weeks	to
75 76	<b>Q4W</b> = every 4 weeks	na
77	ULN = upper limit of	m m
78	normal	ic
79		tio
80	inhibitors, niacin, or bile	e aci

rongly supports a positive corlation between greater levels of DL-C lowering and cardioproctive benefits (5-9). Accordgly, current US, Canadian, and uropean treatment guidelines lvocate decreasing LDL-C to 70 mg/dl in patients at very igh risk (2-4). Within-trial alyses indicate that greater risk duction may be achieved with ren lower LDL-C levels, and dicate no association of these wer LDL-C levels with ineased incidences of adverse vents (AEs) (10–13).

Despite the proven cardioproctive effects of statins, many atients fail to reach recomended LDL-C targets in clinal practice, even with the addion of cholesterol absorption

inhibitors, niacin, or bile acid resins to a statin (14,15).

Proprotein convertase subtilisin/kexin type 9 serine protease (PCSK9) plays a pivotal role in low-density lipoprotein receptor (LDLR) degradation. Gain-of-function mutations of PCSK9 in humans result in hypercholesterolemia (16,17), whereas loss-of-function mutations are associated with low LDL-C and significantly reduced cardiovascular risk (18).

REGN727/SAR236553 (SAR236553) is a highly specific, fully human monoclonal antibody to PCSK9 that, in proof-of-concept trials in familial and non-familial hypercholesterolemia, dose-dependently reduced LDL-C by up to 62% from baseline, either with or without atorvastatin (19-21). The current phase 2 trial assessed 5 different SAR236553 dose regimens in patients with LDL-C  $\geq 100$ mg/dl while receiving stable 10-, 20-, or 40-mg atorvastatin doses.

# **Methods**

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This double-blind, parallel-group, placebo-controlled, US 100 multicenter trial included patients with LDL-C  $\geq 100$ 101 102 mg/dl (2.59 mmol/l) on stable-dose atorvastatin 10 mg, 20 103 mg, or 40 mg for  $\geq 6$  weeks. All patients reviewed and 104 signed an informed consent form approved by a local or 105 central institutional review board prior to any study-related procedures. Study procedures complied with International 106 107 Conference on Harmonization Good Clinical Practice 108 guidelines. An independent data monitoring committee monitored patient safety. 109

The primary objective was to evaluate the effect of 12 110 weeks treatment with SAR236553 versus placebo on 111 112 LDL-C. Other objectives reported here are measurement of: absolute and/or percentage changes in total cholesterol, 113

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high-density lipoprotein cholesterol (HDL-C), triglycerides, non-HDL-C, apolipoprotein (Apo)-B, Apo-A1, and lipoprotein a (Lp(a)); and the proportion of patients achieving LDL-C treatment goals of <100 mg/dl (2.59 mmol/l) and <70 mg/dl (1.81 mmol/l).

Study population. Eligible subjects were men and nonpregnant, nonlactating women aged 18 to 75 years (inclusive), with LDL-C  $\geq 100 \text{ mg/dl}$  (2.59 mmol/l) while receiving a stable dose of atorvastatin 10, 20, or 40 mg daily for  $\geq 6$  weeks. Drug-naive patients or patients either receiving a lipid-lowering therapy other than atorvastatin or not on a stable dose of atorvastatin 10, 20, or 40 mg daily for  $\geq 6$ weeks were eligible, provided that they met the inclusion criteria after discontinuing all other lipid-lowering therapy and completing a 6-week run-in of atorvastatin 10, 20, or 40 mg daily.

Females of childbearing potential not using an effective form of contraceptive, or pregnant or breastfeeding, were excluded, as were individuals with known sensitivities to monoclonal antibody therapies; type 1 diabetes or type 2 diabetes requiring insulin, or with HbA<sub>1c</sub>  $\geq$  8.5%; any clinically significant endocrine disease; blood pressure >150/95 mm Hg; a history of major coronary event within 6 months of screening; a history of class II to IV heart failure; a positive serum or urine pregnancy test; a positive test for hepatitis B or hepatitis C; triglycerides >350 mg/dl; abnormal sensitive thyroid-stimulating hormone level; serum creatinine >1.5  $\times$  upper limit of normal (ULN) in men or >1.4  $\times$  ULN in women; creatine kinase >3  $\times$ ULN; or alanine aminotransferase or aspartate aminotransferase  $>2 \times ULN$ .

Non-study-related lipid-altering therapy use was prohibited during the study. Thyroid preparations or thyroxin treatment (except in patients on replacement therapy) and insulin treatment were also prohibited. Nutraceutical products that may affect lipids were allowed if used at a stable dose for  $\geq 6$  weeks prior to and during screening, and if maintained at a stable dose throughout the study; initiation during the study of treatment with nutraceuticals that affect lipids, including >1,000 mg daily of omega-3 fatty acids, red yeast rice, and plant sterols, was prohibited.

Study design and procedures. The study comprised 3 periods: screening, 12-week double-blind treatment, and 100 8-week follow-up (Fig. 1). Screening period duration varied F1 101 according to atorvastatin treatment status. For patients 102 already receiving stable-dose atorvastatin 10, 20, or 40 mg 103 for  $\geq 6$  weeks, the eligibility screening period was 1 week; for 104 patients requiring the 6-week atorvastatin run-in, screening 105 was at week -7 with eligibility assessment at week -1. 106

Visits during the treatment period were every 2 weeks. 107 Patients continued on the same atorvastatin dose and were 108 randomized 1:1:1:1:1 to placebo every 2 weeks (Q2W); 109 SAR236553 50, 100, or 150 mg Q2W; or SAR236553 200 110 or 300 mg every 4 weeks (Q4W) alternating with placebo to 111 mimic Q2W dosing. Randomization was stratified accord-112 ing to atorvastatin dose, to evaluate any effect of background 113

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#### Figure 1 **Study Design**

\* NCEP-ATPIII TLC or equivalent diet

Overview of study periods and treatment arms. W = week; Q2W = every 2 weeks; Q4W = every 4 weeks.

atorvastatin dose on the LDL-C-lowering efficacy of SAR236553. Visits during follow-up were every 4 weeks.

All laboratory samples were processed by Medpace Ref-139 erence Laboratories (Cincinnati, Ohio), which maintained 140 141 Part III certification by the CDC Lipid Standardization Program (22) and accreditation by the College of American 142 143 Pathologists (23). All lipids, Apos, and safety laboratory 144 tests were performed after 12-h overnight fasts (water only). Triglycerides and cholesterol were measured with enzymatic 145 146 colorimetric tests (Olympus AU2700 or AU5400 Analyzer, Olympus, Center Valley, Pennsylvania) with calibration 147 directly traceable to Centers for Disease Control reference 148 149 procedures. Apo-B-containing lipoproteins were precipitated with dextran sulphate, and HDL-C was measured on 150 151 the supernatant (24). Apo-A1, Apo-B, and Lp(a) were 152 measured with rate immunonephelometry (Dade Behring 153 BNII nephelometer, Siemens Healthcare Diagnostics, 154 Deerfield, Illinois).

155 Safety assessments. Safety was assessed throughout the study by clinical examination, vital signs, AEs, serious AEs, 156 laboratory tests, and 12-lead electrocardiogram. AE data 157 158 were collected from screening onwards.

Statistical methods. The primary study endpoint was the 159 160 percentage change in calculated LDL-C from baseline (mean of week -1 and week 0) to week 12. To detect a 30% difference 161 in % LDL-C change with SAR236553 versus placebo, assum-162 163 ing a 20% to 30% standard deviation and a 5% rate of unevaluable primary endpoint, and using a 2-sided t test at 0.05 164 significance level, 30 patients per treatment arm were required 165 166AQ: 2 to achieve a power of >99% to 96%.

For the primary efficacy endpoint analysis, a hierarchical 167 168 testing procedure was applied to ensure strong control of the overall type-I error rate at the 0.05 level when testing 169

the 5 SAR236553 dose regimens versus placebo. The order used was SAR236553 150 mg Q2W versus placebo first; SAR236553 300 mg Q4W versus placebo second; SAR236553 100 mg Q2W third; SAR236553 200 mg Q4W fourth; and finally, SAR236553 50 mg Q2W. The hierarchical testing sequence continued only when the higher-order test was statistically significant at the 5% level. No further adjustment was performed for secondary analyses or endpoints, for which p values were provided for descriptive purposes only.

147 EFFICACY ENDPOINTS. The primary efficacy endpoint was 148 analyzed in the modified intent-to-treat (mITT) popula-149 tion, defined as all randomized patients with an evaluable 150 primary endpoint, using an analysis of covariance model 151 with treatment group and randomization strata of atorva-152 statin dose as fixed effects, and baseline LDL-C as covariate. 153 The treatment group factor had 6 levels: placebo; 154 SAR236553 50 mg Q2W; SAR236553 200 mg Q4W; 155 SAR236553 100 mg Q2W; SAR236553 300 mg Q4W; 156 and SAR236553 150 mg Q2W. Patients in the mITT 157 population were analyzed according to randomized treat-158 ment group. The last observation carried forward method 159 was applied to impute missing week 12 LDL-C on-treatment 160 values. Throughout the analysis of covariance model, each 161 SAR236553 treatment group was compared with placebo 162 using appropriate contrasts. Ninety-five percent confidence 163 intervals of the difference versus placebo were not adjusted 164 for multiple comparisons. Secondary efficacy endpoints were 165 analyzed in the mITT population using the same analysis of 166 covariance model, with treatment group and randomization 167 strata of atorvastatin dose as fixed effect, and corresponding 168 baseline value as covariate. 169

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SAFETY ENDPOINTS. All safety analyses were performed 193 on the safety population (all randomized patients who 194 received at least 1 full or partial dose of investigational 195 product, analyzed according to the treatment actually 196 received). Four patients received a dose at 1 (or several) 197 visit(s) differing from the dose allocated (5 cases in 4 198 patients). For these patients, the treatment arm allocation 199AQ:3 for as-treated analysis was defined in a blinded manner 200 using a pre-specified algorithm before the database was 201 locked. Demographic and baseline data were summarized 202 on the all-randomized population, and analyzed in the 203 randomized treatment group. 204

# Results

**Study population.** Of 514 patients screened at 34 centers between January and August 2011, 183 met the eligibility criteria and were randomized to treatment (Fig. 2). Ninety F2 percent of patients completed the full 12-week treatment period. The most frequent cause of premature study withdrawal (6 patients) was treatment-emergent AEs (described later in the text). Other causes included noncompliance with study medication, difficulty with/ unacceptability of subcutaneous injections, and loss to follow-up (Fig. 2).

 Table 1
 Patient Demographic and Baseline Characteristics by Assigned Treatment Group (Randomized Population)

	Placebo (n = 31)	50 mg Q2W (n = 30)	100 mg Q2W (n = 31)	150 mg Q2W (n = 31)	200 mg Q4W (n = 30)	300 mg Q4W (n = 30)	All (N = 183)
Age, yrs	53.3 (8.5)	58.5 (9.1)	58.1 (9.2)	59.9 (11.1)	54.9 (10.8)	55.5 (10.1)	56.7 (10.0)
Female	15 (48.4)	13 (43.3)	18 (58.1)	21 (67.7)	13 (43.3)	16 (53.3)	96 (52.5)
Race*							
White	27 (87.1)	26 (86.7)	24 (77.4)	25 (80.6)	28 (93.3)	28 (93.3)	158 (86.3)
Black	3 (9.7)	4 (13.3)	6 (19.4)	6 (19.4)	2 (6.7)	2 (6.7)	23 (12.6)
Other	1 (3.2)	0 (0.0)	1 (3.2)	0 (0.0)	0 (0.0)	0 (0.0)	2 (1)
Hispanic or Latino ethnicity	7 (22.6)	5 (16.7)	4 (12.9)	7 (22.6)	9 (30.0)	8 (26.7)	40 (21.9)
BMI, kg/m <sup>2</sup>	27.9 (4.8)	30.0 (4.5)	29.3 (4.4)	28.2 (4.3)	29.1 (4.2)	30.5 (6.0)	29.2 (4.8)
Years since diagnosis of hyperlipoproteinemia	10.1 (8.8)	10.3 (8.7)	9.5 (7.5)	9.2 (10.1)	7.7 (6.5)	8.4 (6.7)	9.2 (8.1)
Previous treatment with a lipid-lowering agent	25 (80.6)	25 (83.3)	28 (90.3)	27 (87.1)	26 (86.7)	27 (90.0)	158 (86.3)
Hypertension	11 (35.5)	16 (53.3)	19 (61.3)	14 (45.2)	9 (30.0)	13 (43.3)	82 (44.8)
Type 2 diabetes	1 (3.2)	3 (10.0)	2 (6.5)	3 (9.7)	4 (13.3)	9 (30.0)	22 (12.0)
Coronary artery disease	2 (6.5)	2 (6.7)	1 (3.2)	2 (6.5)	2 (6.7)	1 (3.3)	10 (5.5)
Cerebrovascular disease	0 (0.0)	0 (0.0)	1 (3.2)	0 (0.0)	2 (6.7)	0 (0.0)	3 (1.6)
Peripheral vascular disease	0 (0.0)	0 (0.0)	0 (0.0)	3 (9.7)	1 (3.3)	1 (3.3)	5 (2.7)
Current smoker†	8 (25.8)	5 (16.7)	3 (9.7)	9 (29.0)	4 (13.3)	8 (26.7)	37 (20.2)

Values are mean  $\pm$  SD or n (%). \*Patients may be included in more than 1 category. †Patients who have smoked  $\geq$ 1 cigarette, as a mean, per day during the past 7 days. BMI = body mass index: 02W = every 2 weeks: 04W = every 4 weeks.

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### Table 2 Changes in Lipid Parameters From Baseline to Week 12 by Treatment Group (mITT Population)

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		SAR236553					
	Placebo (n = 31)	50 mg Q2W (n = 30)	100 mg Q2W (n = 31)	150 mg Q2W (n = 29)	200 mg Q4W (n = 28)	300 mg Q4W (n = 30)	
LDL-C, mg/dl							
Baseline	130.2 (27.3)	123.2 (27.9)	127.0 (30.4)	123.9 (26.7)	128.2 (19.2)	131.6 (24.8)	
Week 12	120.5 (27.0)	73.2 (16.4)	46.0 (24.4)	34.2 (15.6)	71.1 (21.6)	66.0 (27.7)	
% Change from baseline to week 12, LS mean* (SE)	-5.1 (3.1)	-39.6 (3.2)	-64.2 (3.1)	-72.4 (3.2)	-43.2 (3.3)	-47.7 (3.2)	
p Value* for % change with SAR236553 vs. placebo		<0.0001***	<0.0001***	<0.0001***	<0.0001***	<0.0001***	
TC, mg/dl							
Baseline	209.0 (27.9)	203.3 (28.1)	203.0 (31.4)	205.2 (29.7)	204.2 (25.4)	207.1 (30.2)	
Week 12	203.1 (35.5)	155.1 (21.7)	122.7 (26.7)	111.1 (20.7)	146.0 (25.9)	143.0 (31.6)	
% Change from baseline to week 12, LS mean* (SE)	-1.6 (2.3)	-23.0 (2.3)	-39.7 (2.3)	-45.2 (2.3)	-28.0 (2.4)	-29.8 (2.3)	
p Value* for % change with SAR236553 vs. placebo		<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	
HDL-C, mg/dl							
Baseline	49.0 (10.3)	53.8 (13.6)	52.6 (13.0)	53.3 (16.1)	46.7 (10.8)	48.0 (13.8)	
Week 12	48.9 (13.2)	56.8 (14.4)	54.5 (15.4)	55.1 (14.8)	49.4 (10.5)	51.7 (15.6)	
% Change from baseline to week 12, LS mean* (SE)	-1.0 (2.3)	6.7 (2.4)	4.1 (2.3)	5.5 (2.4)	6.3 (2.5)	8.5 (2.4)	
p Value* for % change with SAR236553 vs. placebo		0.0218	0.1247	0.0570	0.0320	0.0047	
TG, mg/dl							
Baseline	124.0 (92.0-187.5)	128.8 (98.0 to 157.0)	106.0 (80.0 to 149.0)	140.5 (92.5 to 177.5)	127.0 (95.8 to 169.3)	138.5 (103.5 to 176.0)	
Week 12	127.0 (98.0 to 197.0)	117.0 (91.0 to 161.0)	101.0 (70.0 to 131.0)	99.0 (79.0 to 139.0)	124.5 (94.5 to 152.5)	127.5 (112.0 to 150.0)	
% Change from baseline to week 12	9.7 (-15.0 to 30.7)	-6.6 (-17.7 to 7.1)	-5.5 (-22.1 to 10.7)	-18.9 (-31.7 to -6.1)	-10.8 (-25.4 to 13.3)	-8.4 (-21.5 to 10.1)	
p Value** for % change with SAR236553 versus placebo		0.0987	0.0870	0.0006	0.0904	0.0533	
Non-HDL-C, mg/dl							
Baseline	160.0 (28.9)	149.5 (29.4)	150.4 (30.1)	151.8 (34.6)	157.5 (22.8)	159.2 (28.5)	
Week 12	154.2 (37.0)	98.3 (21.1)	68.2 (27.7)	56.0 (18.0)	96.6 (24.2)	91.3 (28.4)	
% Change from baseline to week 12, LS mean* (SE)	-2.2 (2.9)	-33.6 (2.9)	-55.6 (2.9)	-62.5 (3.0)	-37.4 (3.0)	-40.7 (2.9)	
p Value* for % change with SAR236553 versus placebo		<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	
Apo-B, mg/dl							
Baseline	108.3 (19.3)	102.0 (24.5)	103.1 (17.0)	101.6 (26.6)	107.3 (17.5)	104.6 (20.9)	
Week 12	109.2 (27.0)	73.0 (16.4)	54.0 (18.4)	44.1 (14.1)	74.9 (17.1)	68.6 (20.3)	
% Change from baseline to week 12, LS mean* (SE)	2.2 (2.9)	-27.3 (2.9)	-48.1 (2.9)	-56.1 (2.9)	-28.7 (3.1)	-33.1 (2.9)	
p Value* for % change with SAR236553 versus placebo		<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	
Apo-A1, g/I							
Baseline	1.4 (1.3 to 1.6)	1.5 (1.4 to 1.7)	1.5 (1.4 to 1.7)	1.5 (1.3 to 1.7)	1.5 (1.3 to 1.7)	1.4 (1.3 to 1.6)	
Week 12	1.4 (1.3 to 1.7)	1.6 (1.5 to 1.8)	1.5 (1.4 to 1.7)	1.6 (1.4 to 1.7)	1.5 (1.3 to 1.7)	1.5 (1.3 to 1.7)	
% Change from baseline to week 12 p Value** for % change with SAR236553 versus placebo	0.0 (-7.2 to 5.3)	1.4 (-2.8 to 6.0) 0.0455	0.3 (-4.9 to 4.7) 0.8713	1.4 (-2.1 to 5.4) 0.1524	1.5 (-2.9 to 15.1) 0.3019	4.2 (-3.1 to 17.1) 0.0658	
Lp(a), g/l							
Baseline	0.2 (0.1 to 0.9)	0.2 (0.1 to 0.6)	0.3 (0.1 to 0.8)	0.3 (0.1 to 0.6)	0.3 (0.1 to 0.7)	0.2 (0.0 to 0.5)	
Week 12	0.2 (0.07 to 0.85)	0.1 (0.04 to 0.43)	0.2 (0.08 to 0.71)	0.1 (0.05 to 0.41)	0.2 (0.06 to 0.73)	0.1 (0.02 to 0.44)	
% Change from baseline to week 12	0.0 (-11.8 to 11.5)	-13.3 (-33.3 to 0.0)	-26.1 (-36.7 to -8.0)	-28.6 (-46.9 to -22.2)	-16.7 (-33.3 to -6.3)	-7.9 (-18.8 to 0.0)	
p Value** for % change with SAR236553 versus placebo		0.0022	<0.0001	<0.0001	0.0006	0.0203	

Values are mean ± SD or median (interquartile range). p Values for all parameters other than % change in LDL-C from baseline to week 12 are not adjusted for multiplicity and are included here for descriptive purposes only. \*LS means and p values come from covariance analysis with treatment group and randomization strata of atorvastatin dose as fixed effects and baseline as covariate. †p Value comes from a rank analysis of covariance including terms for treatment, randomization strata of atorvastatin dose, and baseline value. The treatment term had 2 levels: the considered SAR236553 dose and placebo. ‡Statistically significant p value according to hierarchical procedure.

Apo-A1 = apolipoprotein-A1; Apo-B = apolipoprotein-B; HDL-C = high-density lipoprotein cholesterol; Lp(a), lipoprotein(a); LS = least squares; TC = total cholesterol; TG = triglycerides

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- T1 Table 1 summarizes baseline patient characteristics. The efficacy analysis included 179 patients (98%). One randomized patient was not treated; the safety population therefore comprised 182 patients.
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   T2 Primary efficacy outcomes. Table 2 summarizes changes in lipid values from baseline to week 12. Mean baseline

LDL-C across all treatment groups was similar at 123 to 132 mg/dl. SAR236553 demonstrated a clear dose-response pattern in LDL-C lowering for both Q2W and Q4W administration. Least squares mean ± standard error reduc-tions in LDL-C from baseline were 39.6  $\pm$  3.2% with 50 mg Q2W, 64.2  $\pm$  3.1% with 100 mg Q2W, 72.4  $\pm$  3.2% with 150 mg Q2W dose,  $43.2 \pm 3.3\%$  with 200 mg Q4W, and 47.7  $\pm$  3.2% with 300 mg Q4W, versus 5.1  $\pm$  3.1% with placebo. LDL-C reductions with SAR236553 were similar among atorvastatin doses (Fig. 3). F3 

Figure 4 illustrates percentage LDL-C change at 2-week F4 intervals. LDL-C reduction among placebo recipients reached a maximum of 13.4% at week 6 and was 5.1% by week 12. LDL-C decreased significantly from baseline by 30.5%, 53.6%, and 62.9% at 2 weeks post-dosing with SAR236553 50 mg, 100 mg, and 150 mg Q2W, respec-tively, with further reductions reaching 39.6%, 64.2%, and 72.4%, respectively, at week 12 (last observation carried forward). For the 200- and 300-mg Q4W regi-mens, LDL-C reductions achieved 2 weeks after the first dose were 66.8% and 69.5%, respectively, which waned over the succeeding 2 weeks (after administration of a placebo dose) to 38.6% and 53.4%, respectively, at week 4. A similar pattern was observed with each subsequent 4-week dose, such that LDL-C reductions in these treatment arms appeared consistent at each 4-week pe-riod.



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338 Secondary efficacy outcomes. Treatment effects on non-339 LDL lipid and Apo parameters are shown in Table 2. Total cholesterol, non-HDL-C, and Apo-B decreased 340 341 substantially. Apo-B and non-HDL-C were reduced by 342 27% to 56% and 34% to 63%, respectively, and Lp(a) by 343 13% to 29% across SAR236553 Q2W regimens. Changes in Apo-B and related lipids were proportional with the 344 345 changes in LDL-C. Changes in triglycerides were vari-346 able and mostly small; the exception to this was the 347 150-mg Q2W regimen, which reduced triglycerides by 348 19%. Increases in both HDL-C and Apo-A1 were 349 variable, but greater with all SAR236553 regimens than 350 with placebo.

Eighty-nine percent to 100% versus 16% of SAR236553 351 versus placebo recipients achieved a target LDL-C of <100 352 353 F5 mg/dl (Fig. 5). The LDL-C <70 mg/dl target was achieved 354 by 47%, 84%, and 100% of 50-, 100-, and 150-mg Q2W 355 recipients, respectively, and by 46% and 57% of 200- and 356 300-mg Q4W recipients, versus 3% of placebo recipients. 357 At week 12, the Apo-B target of <80 mg/dl was achieved 358 by 67% to 100% and 59% to 77%, and the non-HDL-C 359 treatment target of <100 mg/dl by 60% to 100% and 54% 360 to 60% of patients assigned to 50 to 150 mg Q2W and 200 361 to 300 mg Q4W, respectively. Corresponding percentages 362 for placebo were 10% and 3%, respectively. 363

Safety. AEs were similar for all treatment groups, with no 338 dose relationship observed (Table 3). T3 339

340 Five serious AEs occurred in 4 patients during the study: a 64-year-old placebo-treated male required back surgery; a 341 342 68-year-old female assigned to SAR236553 200 mg Q4W 343 underwent elective right knee total arthroplasty; a 69-year-344 old female with a history of chronic obstructive pulmonary 345 disease, assigned to SAR236553 100 mg Q2W, was hospi-346 talized during the follow-up period for worsening disease; 347 and a 57-year-old male who, after the initial dose of 348 SAR236553 300 mg Q4W, developed diarrhea followed by 349 a rash on his arms, legs, and abdomen, and was diagnosed 350 by biopsy with leukocytoclastic vasculitis. Prednisone treat-351 ment led to full resolution. The investigator considered this 352 a significant medical event. No antidrug antibodies were 353 found following the event, but the week 20 follow-up 354 assessment found minimally detectable (30) anti-drug anti- AQ: 355 bodies. Blood samples obtained about 6 months after the 356 event were assessed for antinuclear antibodies, tryptase, 357 high-sensitivity C-reactive protein, and immunoglobulin A, 358 E, M, and G. The antinuclear antibody assessments were 359 negative, and all other results were within normal limits. 360 The same patient required surgery for a humerus fracture 361 that occurred during the follow-up period. 362



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### Table 3 Summary of Treatment-Emergent Adverse Events (Safety Population)

		SAR236553					
	Placebo (n = 31)	50 mg Q2W (n = 30)	100 mg Q2W (n = 31)	150 mg Q2W (n = 31)	200 mg Q4W (n = 31)	300 mg Q\$W (N = 28)	
Overview of all TEAEs							
Patients with any TEAE	14 (45.2)	18 (60.0)	20 (64.5)	19 (61.3)	20 (64.5)	14 (50.0)	
Patients with any treatment-emergent SAE	1 (3.2)	0 (0.0)	1 (3.2)	0 (0.0)	1 (3.2)	1(3.6)	
Patients with any TEAE leading to death	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	
Patients with any TEAE or treatment-emergent SAE leading to permanent treatment discontinuation	0 (0.0)	0 (0.0)	1 (3.2)	1(3.2)	3 (9.7)	1 (3.6)	
AEs of special interest							
ALT $>$ 3 $ imes$ ULN	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	
AST $>$ 3 $ imes$ ULN	0 (0.0)	0 (0.0)	0 (0.0)	0 (0,0)	0 (0.0)	0 (0.0)	
Muscle disorders (including pain, weakness)	1 (3.2)	1 (3.3)	2 (6.5)	1 (3.2)	1 (3.2)	2 (7.1)	
CK $>$ 10 $ imes$ ULN	1 (3.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	
TEAEs occurring in ${>}5\%$ of patients in any treatment group							
Sinusitis	3 (9.7)	0 (0.0)	1 (3.2)	2 (6.5)	1 (3.2)	0 (0.0)	
Influenza	0 (0.0)	2 (6.7)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	
Nasopharyngitis	1 (3.2)	4 (13.3)	3 (9.7)	0 (0.0)	1 (3.2)	1(3.6)	
Upper respiratory tract infection	1 (3.2)	0 (0.0)	1 (3.2)	0 (0.0)	2 (6.5)	1(3.6)	
Urinary tract infection	0 (0.0)	1 (3.3)	0 (0.0)	0 (0.0)	0 (0.0)	2 (7.1)	
Anemia	0 (0.0)	1 (3.3)	0 (0.0)	0 (0.0)	0 (0.0)	2 (7.1)	
Headache	1 (3.2)	1 (3.3)	2 (6.5)	1 (3.2)	1 (3.2)	1(3.6)	
Bundle branch block left	2 (6.5)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	
Cough	1 (3.2)	2 (6.7)	1 (3.2)	0 (0.0)	0 (0.0)	0 (0.0)	
Diarrhea	0 (0.0)	0 (0.0)	1 (3.2)	1 (3.2)	1 (3.2)	2 (7.1)	
Nausea	2 (6.5)	2 (6.7)	2 (6.5)	0 (0.0)	2 (6.5)	1(3.6)	
Arthralgia	1 (3.2)	0 (0.0)	1 (3.2)	1 (3.2)	1 (3.2)	2 (7.1)	
Back pain	0 (0.0)	1 (3.3)	0 (0.0)	0 (0.0)	2 (6.5)	1(3.6)	
Pain in extremity	1 (3.2)	0 (0.0)	0 (0.0)	0 (0.0)	2 (6.5)	2 (7.1)	
Fatigue	0 (0.0)	1 (3.3)	1 (3.2)	2 (6.5)	0 (0.0)	0 (0.0)	
Injection-site erythema	0 (0.0)	0 (0.0)	3 (9.7)	3 (9.7)	1 (3.2)	1(3.6)	
Injection-site pruritis	0 (0.0)	0 (0.0)	2 (6.5)	3 (9.7)	1 (3.2)	0 (0.0)	
Injection-site swelling	0 (0.0)	1 (3.3)	1 (3.2)	2 (6.5)	1 (3.2)	0 (0.0)	
Injection-site hematoma	0 (0.0)	2 (6.7)	1 (3.2)	1 (3.2)	0 (0.0)	0 (0.0)	
Injection-site rash	0 (0.0)	2 (6.7)	0 (0.0)	1 (3.2)	1 (3.2)	0 (0.0)	
Influenza-like illness	2 (6.5)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	
Elevated blood CK	2 (6.5)	1 (3.3)	0 (0.0)	2 (6.5)	0 (0.0)	0 (0.0)	
Fall	0 (0.0)	0 (0,0)	0 (0.0)	1 (3.2)	1 (3.2)	2 (7.1)	
Procedural pain	0 (0,0)	0 (0.0)	2 (6.5)	0 (0,0)	0 (0.0)	0 (0.0)	

432 Values are n (%) and indicate the number and percentage of patients with at least 1 TEAE. Adverse events classified according to the Medical Dictionary of Regulatory Activities (MedDRA) Version 14.0. One of the 30 patients randomized to SAR236553 200 mg Q4W was not treated, and was therefore excluded from the safety population. Four patients received an incorrect dose: 1 in the 100-mg Q2W treatment arm, 1 in the 200-mg Q4W treatment arm, and 2 in the 300-mg Q4W treatment arm. For the safety population, the patients on 100 mg Q2W and 200 mg Q4W were maintained in their respective groups,

434 arm, 1 in the 200-mg Q4W treatment arm, and 2 in the 300-mg Q4W treatment arm. For the safety population, the patients on 100 mg Q2W and 200 mg Q4W were maintained in their respective groups, whereas the 2 patients in the 300-mg Q4W arm were switched to the 200-mg Q4W treatment arm, giving a total of 31 patients in the 200-mg Q4W arm.
 435 AE = adverse event; ALT = alanine aminotransferase; AST = aspartate aminotransferase; CK = creatine kinase; SAE = serious adverse event; TEAE = treatment-emergent serious event; ULN = upper limit of normal.

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437 Six patients prematurely discontinued SAR236553 owing
438 to AEs: 1 each in the 100-mg Q2W (neutropenia) and
439 150-mg Q2W (fatigue) arms, 3 in the 200-mg Q4W arm
440 (injection-site rash, chest pain, and combined headache and
441 nausea), and 1 in the 300-mg Q4W arm (leukocytoclastic
442 vasculitis described earlier in the text). No AE-related
443 discontinuations occurred with placebo.

none had hepatic transaminases  $>3 \times$  ULN or significant changes in other laboratory values. Muscle complaints were infrequent and similar across treatment groups.

# Discussion

444 Mild injection-site reactions (this group term included 445 erythema, pruritis, swelling, discoloration, hematoma, and 446 rash) were the most common AEs (Table 3). These oc-447 curred in SAR236553 recipients only, and were more 448 common with Q2W than Q4W dosing. Elevated creatine 449 kinase >10 × ULN occurred in 1 patient (placebo-treated); This study demonstrated that SAR236553 is associated443with dose-related and dose regimen-dependent LDL-C444reductions in patients receiving stable atorvastatin therapy.445LDL-C reductions with 100 and 150 mg Q2W were446greater than with 200 and 300 mg Q4W at week 12, and447reached a maximum of 72% (150 mg Q2W). SAR236553448150 mg Q2W reduced LDL-C to <70 mg/dl in 100% of</td>449

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450 patients. The continued trend towards lower LDL-C observed with multiple SAR236553 Q2W doses may indicate 451 a potential for further LDL-C reductions with longer 452 therapy duration. LDL-C reductions with SAR236553 453 were unaffected by atorvastatin dose (10, 20, or 40 mg 454 455 daily), suggesting that, although both statin and PCSK9 monoclonal antibody therapies up-regulate LDLRs, their 456 mechanisms of LDL-C reduction are independent. Further, 457 458 these agents appear to provide additive LDL-C-lowering 459 effects when administered in combination. LDL-C reduc-460 tions achieved with SAR236553 result from increased numbers of LDLRs. The increase in LDLRs arises from 461 PCSK9 inhibition, and enhances the clearance of any 462 Apo-B-containing particles-including LDL, very-low-463 density lipoprotein, and possibly, Lp(a). To our knowledge, 464 465 increasing LDLRs by combining SAR236553 with a statin is not associated with any adverse effects. 466

467 Our findings suggest that patients who are unable to
468 achieve LDL-C treatment targets with statin monotherapy
469 may do so with the addition of SAR236553. Because
470 LDL-C increased after 2 weeks post-dosing, Q2W admin471 istration appears the most favorable dosing schedule.

472 SAR236553 100 and 150 mg Q2W reduced Apo-B by 48% and 56%, respectively, allowing 90% and 100% of 473 patients to achieve the <80 mg/dl Apo-B goal. Statin trials 474 indicate that no threshold exists, below which further 475 LDL-C reduction provides no additional benefit (25). 476 477 However, post hoc analyses of large outcomes trials suggest that LDL-C does not represent the vascular burden of all 478 479 atherogenic lipoproteins, and that non-HDL-C and, even 480 more so, Apo-B levels may correlate better with outcomes (3,26), especially in secondary cardiovascular disease preven-481 482 tion and in high cardiometabolic risk patients (27,28). Adding ezetimibe and/or bile acid sequestrants to statins 483 484 further reduces LDL-C by 12% to 18%, but reduces Apo-B 485 by only around 6%. This may account for the observed failure to reach Apo-B targets when LDL-C goals are met 486 (29). The potential of SAR236553 to enable nearly all 487 488 patients to attain both LDL-C and Apo-B targets may thus 489 offer an opportunity for further cardiovascular risk reduc-490 tion.

491 In this study, the effects of SAR236553 on triglycerides were minimal; however, baseline triglyceride levels were 492 493 fairly low at 117 to 146 mg/dl. Statins, which also up-494 regulate LDLR activity, similarly have little effect on triglycerides in normotriglyceridemic patients (30). Very-low-495 496 density lipoprotein particles are the principal carriers of 497 triglycerides. These particles contain Apo-B and are therefore subject to enhanced clearance as a result of LDLR 498 499 up-regulation by SAR236553. Thus, greater triglyceride reductions would be expected in patients with higher baseline 500 triglycerides, and assessment of the true triglyceride-lowering 501 potential of SAR236553, with or without statins, will require 502 503 studies in patients with elevated baseline levels.

As in the earlier phase 1 trial (31), there was a trend towards HDL-C and Apo-A1 increases with SAR236553 versus placebo. HDL-C may increase as a result of reduced450cholesteryl ester transfer protein–mediated transfer of cho-451lesterol from HDL to LDL or very-low-density lipoprotein,452owing to the reduction of LDL to very low levels. This453inability to transfer cholesterol from HDL leads to relative454increases in HDL-C, as evidenced by the minimal change in455Apo-A1, the major apolipoprotein in HDL.456

The consistent, robust 13% to 29% Lp(a) reduction with SAR236553 Q2W confirms phase 1 data showing similar effects in SAR236553 patients receiving atorvastatin, but not in those on diet alone (31). As the LDLR up-regulation induced by statins, ezetimibe, and bile acid sequestrants has no impact on Lp(a), it is possible that, with the large LDL-C reductions, remaining competition from the Apo-B on LDLR is minimal, enabling LDLR uptake of the lower-affinity Apo-B on Lp(a). The actual mechanism of Lp(a) reduction will require further study.

SAR236553 was well tolerated during this short study. The frequency of injection-site reactions-which were generally mild, transient, and nonprogressive-requires much larger, longer trials to determine clinical and compliance impacts. There was no evidence of increasing clinical or laboratory side effects with increasing SAR236553 dosage. Specifically, this short study produced no evidence of increases in either hepatic or muscle-related enzymes. The occurrence of leukocytoclastic vasculitis in 1 patient 9 days after initiation of SAR236553 300 mg was not associated with other organ involvement, and the patient responded rapidly to SAR236553 withdrawal and steroid therapy initiation. No similar reactions were reported in prior SAR236553 studies. Although the exact causality of the leukocytoclastic vasculitis in this subject cannot be determined, it was deemed by the investigator to be SAR236553related. Again, larger, longer trials are required to determine the frequency and severity of this potential side effect.

Leukocytoclastic vasculitis is a generally benign disease 485 that occurs in 40 to 60 individuals/million persons/year, 486 with drug therapy identified as the cause of about 20% of 487 cases (32). Numerous classes of agent have been implicated 488 in its development, including antibiotics and nonsteroidal 489 anti-inflammatory drugs (33), and leukocytoclastic vasculitis 490 is listed as an AE in the prescribing information for most 491 commercially available monoclonal antibody therapies. A 492 recent review of articles published between 1990 and 2008 493 reports 118 cases of cutaneous leukocytoclastic vasculitis in 494 patients receiving TNF monoclonal antibody therapy (34). 495

Our study is the largest and longest reported to date with 496 SAR236553, and included the most diverse patient popu-497 498 lation. It confirms the finding of earlier proof-of-concept trials that PCSK9 inhibition with monoclonal antibodies 499 robustly reduces levels of all Apo-B-containing atherogenic 500 lipoproteins, especially LDL-C. This effect was uniform, 501 irrespective of baseline atorvastatin dose, and greater sus-502 tained efficacy was seen with Q2W dosing than with higher 503 doses given Q4W. The 72% LDL-C reduction with 504 SAR236553 150 mg Q2W surpasses that achieved with 505

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506 almost any other lipid-lowering therapy. These encouraging 507 results suggest the need for further evaluation of 508 SAR236553 in larger, even more diverse patient popula-509 tions, and with different background therapies, to fully 510 assess its efficacy and safety.

#### 512 Acknowledgments

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The authors would like to thank: all the study investigators; 513 514 the Data Monitoring Committee members (Anders Olsson, 515 MD; David Waters, MD; Dominique Larrey, MD; Robert 516 S. Rosenson, MD; and Geert Molenberghs, PStat); Herbert 517 Thijs, PhD, of the Centre for Statistics (CenStat), Univer-518 siteit Hasselt, Belgium, for assisting with the statistical 519 analyses; and Sandralee Lewis, PhD, of PPSI, for scientific 520 writing and editorial support, funded by Sanofi and Regen-521 eron. 522

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