#### MASSACHUSETTS GENERAL HOSPITAL HEART CENTER

# Percutaneous Treatment of Mitral Regurgitation

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A Teaching Affiliate of Harvard Medical School

# Mechanisms of Mitral Regurgitation



#### Degenerative Mitral Regurgitation



Mis-aligned and thickened leaflets allows backflow of blood into the left atrium



Surgical Leaflet Repair: Excellent Outcomes Limited to Centers of Excellence

Pts are typically referred for surgery when MR reaches 3-4+, left ventricular size has increased, functional status has become impaired, and the surgical risk is acceptable Functional Mitral Regurgitation MR caused by ischemic heart disease or cardiomyopathy



Pts are generally <u>not</u> considered for surgery, and are chustres maintained on medical therapy for control of CHFLSX CENTER

#### Edge-to-edge (2)

- eValve Pivotal completed!
- Edwards Mobius

#### **Coronary sinus annuloplasty (3)**

- Edwards Monarc
- Cardiac Dimensions Carillon
- Viacor PTMA

#### Indirect annuloplasty (3)

- Ample PS3
- Myocor i-Coapsys
- St. Jude AAR

#### **Direct annuloplasty (5)**

- Mitralign
- Guided Delivery Systems
- QuantumCor, Cordis DPA
- MiCardia, Mitral Solutions

#### Mitral valve replacement (1)

Endovalve

## Device Landscape: Percutaneous MV Repair















#### Mitral Regurgitation: Multiple Causes



(1) Degenerative (primary MR)(2) Functional (secondary MR)





# Organic vs. Functional MR

· ·	Organic MR (Primary)	Functional MR (Secondary)
Cause	Disease of the <u>mitral valve</u> <u>leaflets</u> (i.e., myxomatous degeneration, leaflet prolapse)	<ul> <li>Disease of the <u>left ventricle</u> leads to enlargement of the LV and subsequently the mitral valve annulus</li> </ul>
Standard of Care	<ul> <li><u>Surgical</u> mitral valve repair or replacement</li> </ul>	<ul> <li>Medical management</li> </ul>
Emerging Technologies	<ul> <li>Percutaneous <u>Edge To</u> <u>Edge</u> repair</li> <li>Percutaneous Mitral Valve Replacement</li> </ul>	<ul> <li>Percutaneous Valve Annulus Cinching (Coronary Sinus)</li> <li>Atrial Tethering</li> </ul>





#### EDGE-TO-EDGE



#### • MitraClip (1)

- Status: Randomized trials
- Limitations: Durable?, MS
- MitraFlex
- Status: Preclinical
- Limitations: Durable?, MS
- LEAFLET COAPTATION
- Percu-Pro (2)
- Status: Phase 1 trial
- Limitations: thrombus formation, MS
- LEAFLET ABLATION
- Thermocool (3)
- Status: Animal models
- Limitations: Not precise, leaflet perforation







#### Mitral Regurgitation 2009 U.S. Prevalence A Largely Untreated Patient Population



- 1. US Census Bureau. Statistical Abstract of the US: 2006, Table 12.
- 2. Nkomo et al. Burden of Valvular Heart Diseases: A Population-based Study, Lancet, 2006; 368: 1005-11.
- 3. Patel et al. Mitral Regurgitation in Patients with Advanced Systolic Heart Failure, J of Cardiac Failure, 2004.
- 4. ACC/AHA 2008 Guidelines for the Management of Patients with Valvular Heart Disease, Circulation: 2008
- 5. Gammie, J et al, Trends in Mitral Valve Surgery in the United States: Results from the STS Adult Cardiac Database, Annals of Thoracic Surgesy MASSACHUSETTS

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Many patients are not considered appropriate candidates for mitral valve surgery

Large portion of mitral regurgitation patients are left untreated ineligible for surgical treatment or denied surgical intervention<sup>1-2</sup>

Factors prohibiting Surgery include<sup>6</sup>:

- Impaired LVEF
- High operative risk
- Multiple comorbidities
- Advanced age

Surgical Patients (30K)

**49%** High-Risk Patients<sup>\*,3-5</sup> (860K) **49%** Surgical Candidates (850K) Of surgical candidates, up to 50% of patients are not referred to surgery, even if a surgical indication exists <sup>2</sup>

1. Lung B, et al. Eur Heart J. 2003;24:1231-1243.

2. Mirabel M, et al. Eur Heart J. 2007;28:1358-1365.

3. U.S. Census Bureau, Statistical Abstract of the U.S.

4. Nkomo et al. Burden of Valvular Heart Diseases: A Population-based Study, Lancet, 2006; 368: 1005-11.

5. Patel, et al. Mitral Regurgitation in Patients with Advanced Systolic Heart Failure, J of Cardiac Failure, 2004.

6. Rankin, et al, J of Thoracic and Cardiovascular Surgery, March 2006.



## **Treatment Options in USA**

- Medical Therapy
  - First course of therapy limited role
- Surgery
  - Repair or replacement
  - Class I indication for some
  - Proven effective



#### Why Percutaneous Valve Repair?

Surgical repair is the gold standard
 Surgical Mortality is low

NYHA Class	Mortality for Repair (%)
Class I	0.64
Class II	0.87
Class III	1.80
Class IV	3.71



#### Why Percutaneous Valve Repair?



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## All Patients NOT Candidates for Surgery

- Multiple, serious co-morbidities increase risk of surgical mortality and morbidity
- Benefits of surgery do not outweigh risks
- In the USA, these patients are left with no other treatment option
- Physicians struggle with the management of these high surgical risk patients



# High Risk Eligibility Criteria (at least one)

- STS Score  $\geq 8$
- Prior CABG
- Hepatic Cirrhosis.
- Functional MR and LVEF < 40%</li>
- Prior chest surgery, LVEF < 35%, and creatinine</li>
   > 2.5 mg/dl
- Age > 75 and prior chest surgery and creatinine > 2.5 mg/dl
- Two (2) or more chest surgeries



#### Mitral Valve Repair Technology Summary

Technology	Approach	Status
<b>Bowtie</b> <ul> <li>E Valve</li> <li>Edwards</li> </ul>	Leaflet Coupling	Clinical
<b>Coronary Sinus</b> <ul> <li>Edwards</li> <li>Viaco</li> <li>Cardiac Dimensions</li> </ul>	CS Reshaping	Early Clinical
<ul> <li>Annulus Plication</li> <li>Mitralign</li> <li>Guided Delivery Systems</li> </ul>	Posterior Reshaping	<b>Pre-Clinical</b>
<ul> <li>LV Shape Change</li> <li>Myocor (Surgical/Endovascular)</li> </ul>	External LA/LV	Clinical/ Pre-Clinical
<b>PS3 Ample Medical</b>	Internal Direct S-L	<b>Pre-Clinical</b>

#### **The Alfiere Operation - 2000**

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The double regorgitati	e-orifice technique as a standardized approach to treat mitral ion due to severe myxomatous disease: surgical technique"
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#### Edge to Edge: Clinical Results Freedom from Reoperation





# MitraClip System

- The MitraClip System is an investigational technology
  - Establishes vertical coaptation while capturing the leaflets and drawing them together
  - Repositionable to allow real-time MR assessment prior to deployment



#### Percutaneous Mitral Valve Repair MitraClip<sup>®</sup> System





# **Case Selection**

## **Case Selection** Coaptation Coaptation Length Depth A 7111 >11mm Fiail Gap ≥10mm Flail Width 216mm



#### Edge to Edge: First Case Caracas - Venezuela





# **Mitral Clip Trials**

Study	Population	n
EVEREST I (Feasibility)*	Non-randomized	55
EVEREST II*	Pre-randomization	60
EVEREST II	High Risk Registry	78
EVEREST II (Pivotal)	Randomized patients	279
	(2:1 MitraClip to Surgery)	184 MitraClip
		95 Surgery
REALISM (Continued Access)	High Risk & Non High Risk	360
European Experience		724
	Total	1,461 MitraClip

\*Percutaneous Mitral Valve Repair Using the Edge-to-Edge Repair: Six months Results of the EVEREST Phase I Clinical trial, JACC 2005;46:2134-2140. Percutaneous Mitral Repair with the MitraClip System: Safety and Midterm Durability in the Initial EVEREST Cohort, JACC 2009; 54:686-694.

# **Everest II Randomized Trial**





#### More effective reduction in MR with Surgery

#### More effective reduction in MR with surgery



Favorable LV remodeling in both groups Better NYHA & SF-36-QQLeAwith MitraCl

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#### effective reduction in MR with surgery

# **EVEREST II Final Results**



#### Better NYHA & SF-36 QOL with Mitral CLip ng in both groups Better NYHA & SF-36 QOL with MitraClip





#### Degenerative vs. Functional MR 30-day Major Adverse Cardiac Events

**30 day Major Adversed Cardiac Events** 





**30-day MACE** 

#### Similar MR Reduction in Degenerative and Functional MR

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#### **Freedom from MV Surgery.** Everest II Randomized Trial. 2 year Results



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#### 4-Years Follow-Up of the EVEREST II Trial





Mauri et al, JACC 2013; 62: 317-328.

# Worldwide Experience Using the MitraClip

Study	Population	N*
EVEREST I (Feasibility)	Feasibility patients	55
EVEREST II (Pivotal)	Pre-randomized patients	60
EVEREST II (Pivotal)	Non-randomized patients (High Risk Study)	78
EV/EDEST II (Divotal)	Randomized patients	279
	(2:1 Clip to Surgery)	184 Clip / 95 Surgery
REALISM Continued Access	Non-randomized patients (High Risk Patients)	631
REALISM Continued Access	Non-randomized patients (Non-High Risk Patients)	272
COAPT	Randomized patients (1:1 Clip to No Clip)	2
Compassionate/Emergency Use	Non-randomized patients	66
ACCESS Europe Phase I	Non-randomized patients	567
ACCESS Europe Phase II	Non-randomized patients	286
Commercial Use	Commercial patients	6,921
Total		9,122 + 95 surgery
		(MGH) GENERAL HOSPITAL

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# MitraClip Therapy Current Global Adoption



## Patient Characteristics (first procedure only)

Characteristics	Commercial Patients (N=7,226)
Age (mean $\pm$ SD), years	76 ±10
Male Gender, (%)	63
Etiology	
Functional MR, (%)	67
Degenerative MR, (%)	23
Mixed Etiology, (%)	10



# Left Ventricular Dysfunction

Parameters	Commercial Patients (N=7,226)
Left Ventricular Ejection Fraction (LVEF), (%)	
LVEF <30%	33
LVEF ≥30%	67
Left Ventricular End Systolic Diameter (LVESD), (%)	
LVESD <55 mm	98
LVESD ≥55 mm	2
Left Ventricular Dysfunction, (%)	
None (LVEF >60% and LVESD <55 mm)	13
Mild to Moderate (LVEF ≤60% but ≥30%, LVESD ≥40 mm but ≤55 mm)	48
Severe (LVEF ≤30% or LVESD ≥55 mm)	35
Unknown	MGH MASSACHUSETTS GENERAL HOSPITAL

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# Number of MitraClips Implanted and Implant Rate





# **Site-Reported MR Grade**


Figure 2: Kaplan-Meier Freedom from Death and Mitral Valve Surgery (Device Group) and Freedom from Death and Reoperation (Control Group), Modified ITTa (N = 258)





## CONCLUSIONS

- The MitraClip device provides a non-surgical option for reduction of significant MR
- Adoption of the MitraClip therapy as a non-surgical treatment option in an underserved patient population with high risk or too high risk for surgery continues to expand, driven by procedural safety, positive patient outcomes and increasing physician experience





# THE COAPT TRIAL

Clinical Outcomes Assessment of the MitraClip Percutaneous Therapy for High Surgical Risk







- COAPT is a landmark trial to further study the MitraClip device in a FMR patient population that is too high risk to undergo mitral valve surgery
- The study will generate important clinical and economic data to support reimbursement and evidence to support the development of treatment guidelines
- COAPT is the first randomized controlled clinical trial to compare non-surgical standard of care treatment to an intervention to reduce MR







## ~420 patients enrolled at up to 75 US sites

Significant FMR (≥3+ by core lab) High risk for mitral valve surgery Specific valve anatomic criteria

## Randomize 1:1

MitraClip N=210 Control group Standard of care N=210

Clinical and TTE follow-up: 1, 6, 12, 18, 24, 36, 48, 60 months

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# **Primary Endpoints**



## • Primary Effectiveness (min 1-year FU all pts)

- Recurrent heart failure hospitalizations
  - Superiority hypothesis (Andersen-Gill)
- Primary Safety (1 year)
  - Composite of all-cause death, stroke, worsening kidney function, or LVAD or cardiac transplant
    - Non-inferiority hypothesis



# Secondary Endpoints



## Secondary Effectiveness

- MR severity at 12 months
- Change in 6MWD at 12 months
- Change in quality of life score (KCCQ) at 12 months
- Change in LVEDV at 12 months
- Reduction to NYHA Functional Class I/II at 12 months
- Secondary Safety
  - Composite of death, stroke, MI, non-elective CV surgery for device related complications in Device group at 30 days
  - All-cause mortality at 12 months (non-inferiority hypothesis with 6% delta)

## Key Inclusion Criteria (1)

- Functional MR ≥3+ due to cardiomyopathy of either ischemic or non-ischemic etiology, confirmed by the Echo Core Lab
- Symptomatic (NYHA class II, III or ambulatory IV)
- STS mortality risk is ≥ 8% OR Local Site Heart Team concludes that co-morbidities result in a prohibitive predicted operative risk of stroke or death.
- Subjects who do not meet the STS mortality risk criterion of ≥ 8% can be included in the trial if the Local Site Heart Team and the Central Eligibility Committee concur and document that the subject's predicted operative risk of stroke or death is prohibitive for open mitral valve surgery for reasons not captured by the STS risk calculator MASSACHUSETTS HEART CENTER

# Key Inclusion Criteria (2)

- The subject has had at least 1 HF hospitalization in the 12 months prior to enrollment and/or BNP ≥400 pg/ml or nT-proBNP ≥1600 pg/ml measured within 90 days prior to enrollment
- Subject adequately treated per applicable standards for CAD, LV dysfunction, MR or HF (CRT, revascularization, and/or OMT) before enrollment
- The primary regurgitant jet originates from malcoaptation of the A2 and P2 scallops of the mitral valve. If secondary MR jets exist, they must be considered clinically insignificant.





## Key Exclusion Criteria (1)



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- The subject has severe LV dysfunction based on an echocardiogram obtained within 6 months prior to enrollment (severe LV dysfunction is defined as LVESD >60mm or LVEF<20%)</li>
- MV area <4 cm2
- MI in the prior 90 days
- Untreated clinically significant CAD requiring revascularization
- CVA or TIA within 6 mo or severe carotid stenosis

## Key Exclusion Criteria (2)



- Any PCI, carotid or endovascular intervention or carotid surgery within 30 days, or any coronary or endovascular surgery within 6 months
- CRT and/or ICD implant or revision within 90 days
- Leaflet anatomy which may preclude MitraClip implantation, proper MitraClip positioning on the leaflets or sufficient reduction in MR
- Severe right ventricular failure or severe TR



## Indirect Annuloplasty: Coronary Sinus Devices

### CARILLON (Cardiac Dimensions)



## PTMA (Viacor)



## MONARC (Edwards Lifesciences)









## **CARILLON Mitral Contour System**



96 patients implanted 25 extracted because of coronary compromise 11 reimplanted more proximally. 88% in Funct. Class 1-2 at 6 months

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# Theoretical Challenges of CS Approach

 Possible compromise of circumflex artery

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2) Variable distance of CS to valve annulus





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# The AMADEUS™ Trial

CARILLON™ Mitral Annuloplasty Device European Union Study Cardiac Dimensions® CARILLON™ Mitral Contour System™

- Prospective single-arm multi-center trial
  - 7 European centers (6 Active); Total of 30 patients with implants
- Primary Endpoint: Safety of deploying and implanting the device in the coronary sinus and great cardiac vein of subjects with functional MR (30 day MAE)
- Secondary Endpoint: Long-term safety and effect of the device on hemodynamics and subject function @ 1, 3, and 6 months
  - Safety @ 6 months
  - NYHA Class
  - Exercise
  - MR Reduction
  - QOL



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# Inclusion / Exclusion

#### INCLUSION:

- NYHA Class > II
- FMR > 2+ (NYHA II with FMR 2+ not eligible)
- LVEDd > 55mm
- EF < 40%
- 6 MWT distance between 150m & 450m
- Stable on heart failure medication

#### EXCLUSION:

- Recent hospitalization for cardiac surgery, revascularization, unstable angina
- Presence of chronic atrial fibrillation
- Presence of left atrial appendage clot
- Creatinine > 2 2mg/dl

#### AMADEUS RESULTS.pdf (SECURED) Adobe Reader

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## **Baseline Demographics** Patients with Implants (n=30)

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	AMADEUS <sup>™</sup> Trial
Age	66.5 (47-81 yrs)
Gender	M = 86.7% (26) F = 13.3% (4)
NYHA Class	II - 6 III - 22 IV - 2
EF	31.6% avg. (12.5 - 39.9)
MR	2+ = 4 3+ = 20 4+ = 6
LVEDD	65.7mm (55 - 77mm)
History of CAD	72.2%

85.3% \*

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## CS/GCV Position Relative to Annulus



Anterior





Lateral



#### METHOD

 Measurements made @ midpoint of each third – P1, P2, P3

 Distances measured from edge of annulus to middle of vein lumen in x, y, z directions

Posterior





No devices are left in unless MP is reduced at the time of the implant.



# Managing Coronary Arteries





# **Observed Intra-Procedural Complications**

### Coronary Sinus (CS) Access

- Trauma to CS
  - 2 perforations; 1 dissection; All patients recovered
  - Related to early experience with CS access
  - Risk minimized by introduction of a curved delivery catheter

#### Proper equipment and technique facilitate success

- Soft-tip guidewire
- Appropriate access catheter size and shape
- Telescoping technique

### Maintenance of Fluid Balance

- 1 case of transient pulmonary edema
- Volume loading to support arterial pressure may have contributed





Cardiac Dimen

# 5) Acute Intra-procedural MR

TEE was used in all patients intra-procedurally to determine acute MR reduction

- Acute MR reduction was required to leave a device
  - Visual assessment was done in all 30 patients
  - Grade 3.0 ± 0.6 to 2.0 ± 0.8 (p<0.0001)</p>



## 5) Acute Intra-procedural MR Quantitative MR Performed in Final 20 Patients



## **MONARC** Device



## **EVOLUTION I Clinical Study**



## 6 Month Follow Up: Primary Efficacy ≥ 1 MR grade reduction (72 pts)



#### **EVOLUTION I Clinical Study**



## 6 Month Follow Up MR Severity



### **EVOLUTION I** Clinical Study



### **EVOLUTION I Clinical Study**

## **Cumulative Safety**



MACE= Device migration, Death, Device Embolization, Cardiac Tamponade, Coronary sinus thrombosis or Pulmonary embolism



# **Evolution I. Conclusions**

### • At 6 Month Follow Up:

- Lower rehospitalization for cardiac events
- 92% of patients had MR reduction > 1+
- Device is durable with no observed fractures or separations
- At 3 year Follow up:
  - At 36 months, 64% of patients are event free.
  - Encouraging 36-mnths results compared to baseline on MR reduction and NYHA class improvement.
- Program discontinued by industry sponsor in spite of no major safety or efficacy concerns. EVOLUTION II clinical study at current pace would take several years to complete.



## Viacor PTMA Coronary Sinus Device. Ptolemy II Trial



PTOLEMI II: 2.8% 30 day MACE and 90% procedural success Stefan Sack et al on behalf of the PTOLEMI II.



# Viacor PTMA Coronary Sinus Device. Ptolemy II Trial



PTOLEMI II: 2.8% 30 day MACE and 90% procedural success Stefan Sack et al on behalf of the PTOLEMI II.



# Mitralign: Key Points

- Within the Mitral Valve space, Mitralign is an emerging leader
- Our procedure has been shown feasible, repeatable and durable
- Mitral CE Mark study more than halfway completed
- Mitral US feasibility study scheduled to initiate Q3 \_13
- Tricuspid FIM planned for Q4 \_13
- Overall, Mitralign positioned well for multiple indications



# Mitral Regurgitation: Two Main Etiologies



#### Mitralign is Intended to Treat Functional Mitral Regurgitation (FMR)



# Percutaneous Mitral Valve Therapy: Role in DMR and FMR May be Different

- In DMR, there is something physically wrong with the valve apparatus
- MitraClip is a viable treatment for DMR
- The use of MitraClip in DMR, may limit future ability to place a percutaneous rep. valve, complicating the choice of therapy
- In general, repair and replacement are options, but repair options should allow for future percutaneous replacement
- Replacement overall may play an earlier role in DMR, depending upon the effectiveness of repair options



# Percutaneous Mitral Valve Therapy: Role in DMR and FMR may be different

- In FMR, the leaflets have separated due to dilation of the heart, but the valve apparatus remains essentially normal
- To outright replace a normal valve apparatus in a patient that may be on average 10 years younger than a TAVR patient, may not be the first consideration
- Percutaneous repair technologies, if they are safe and leave open all future clinical options can work well and may be considered a first line option
- Replacement likely to be a "later stage" option in FMR



# Mitralign TAMR <u>Transcatheter Annuloplasty for Mitral Repair</u>





**Objective:** Reduction of the posterior mitral annulus to coapt the leaflets and thereby initiate LV remodeling, improve QoL and decrease repeat hospitalizations



# The Mitralign Procedure Similar to Modified Kay Annuloplasty

- The Kay annuloplasty was a successful surgical procedure, the precursor to the ring.
- Pledget and suture with plication
- Shorten the posterior mitral annulus by bring posterior leaflet towards the anterior leaflet




#### Mitralign Procedure: Main Steps



- Transcatheter Annuloplasty for Mitral Repair (TAMR)
- Polyester pledgets delivered percutaneous via the left ventricle thru the posterior mitral annulus
- Pledgets are plicated and locked in targeted location
- Currently using one or two implants
- US study will allow up to three implants







#### **Therapeutic Options Remain Open**

- Once implanted, the pledget pairs rapidly encapsulate into the annulus
- Open for future repair options: MitraClip, 2nd Mitralign, surgical
- Open for future replacement options
- Tighter annulus may facilitate replacement technologies







# Customized Therapy for a Complex Condition

- Mitral disease is complex and lends itself to some customization
- Potential to use 1, 2 or 3 implants
- Customize the location of the implant "reinforce" the infarcted area
- Customize the amount of plication to match the heart size, extent of MR







## Focused on Functional Mitral Regurgitation Mitralign Repair as First Line Therapy



- Left Ventricle free wall —**f**ills away"
- Leaflets are dislocated but remain normal
- For first line therapy, better to repair than replace a normal valve, especially if one can preserve future clinical options



## **Mitraling Brident Approach**



#### Grube et al. 12 FIM cases



### Mitraling Brident Approach



 Maximum reduction of the septo-lateral dimension can be achieved by plication of two pairs of pledgets at the P1 and P3 location of the annulus.



## **Mitraling Brident Approach**









Before





### S-L Expansion Causes FMR



Sheep CHF/FMR Model Strong Surrogate for Human Condition



Dagum, Miller, et. al., J. Thoracic Card Surg, 2001

### **Direct S-L Shortening Stops FMR**

#### FMR Ameliorated By Restoring S-L to Normalcy



Timek, Miller, et. al., J. Thorac Cardiovasc Surg, May 2002



## Percutaneous Septal, Sinus Shortening





### The PS<sup>3</sup> System

#### Percutaneous Septal-Sinus Shortening



Rogers JH, Palacios, IF. Circulation. 2006;113:2329-2334.



Ample PS3 FIM Studies in Caracas - Venezuela

## Phase I Surgical

- FIM experience in two patients with severe MR scheduled for cardiac surgery.
- Safety and efficacy issues
- ➡Significant changes in the PS dimensions and MR

## Phase II Café Trial

- To assess the feasibility and safety of the chronically implanted Ample PS3 device in patients with FMR
- 40 pts to be enrolled at 3 hospitals in Venezuela. Three patients initially done.
  - Study restarted on May 2014

## **COAPSIS LV Remodeling**





#### **Transcatheter Mitral Valve Implantation**



## CardiAQ Valve Technologies







## **Percutaneous Treatment of MR - Conclusions**

- Mitral valve repair for organic MR has been performed successfully with the use of a leaflet plication method to create a double-orifice mitral valve.
- Reduction in the degree of MR and improvement of symptoms have been achieved with this catheterbased, non-surgical approach.
- Initial experience with experimental devices to treat FMR are encouraging but further development are needed to treat ischemic and functional MR.

