

Repositionable Percutaneous Replacement of a Stenotic Aortic Valve through Implantation of the Lotus Valve System

*30-day Outcomes for the First 60 Patients
in the REPRISE II Study*

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On behalf of the REPRISE II Investigators

Disclosures

Ian T. Meredith AM

- **Consultant Fee / Honoraria / Speaker's Bureau:**
 - Boston Scientific (Modest)
 - Medtronic (Modest)

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Early TAVI Devices for Severe Aortic Stenosis *Reprise*

- Significant benefit for inoperable/high risk patients
- Shortcomings with current devices & clinical complications
 - Paravalvular leak
 - Association with increased mortality*
 - Valve malpositioning
 - Valve migration, embolization, ectopic deployment, TAV-in-TAV
 - Stroke
- Improvements needed to prevent undesirable outcomes
 - Reduce aortic regurgitation
 - Simple, precise & atraumatic aortic/ventricular repositioning
 - Full atraumatic retrieval

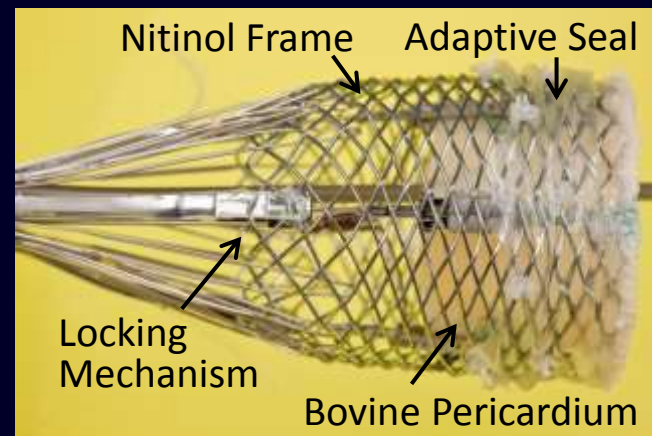
Lotus Valve System



1. Preloaded delivery system



3. Central radiopaque marker to aid precise positioning



4. Functions early enabling controlled deployment



2. Simple handle design

5. Fully retrievable and repositionable

6. Adaptive seal designed to minimize paravalvular leak

Study Design



REPRISE II

- **OBJECTIVE**

Evaluate safety & performance of the Lotus Valve System for TAVI in symptomatic patients with severe calcific aortic stenosis who are considered high risk for surgical valve replacement

- **DESIGN**

Prospective; single-arm; multicentre; f/u at 7 days/discharge, 30 days, 3 & 6 months, 1 year & annually through 5 years

- **PRIMARY ENDPOINT – DEVICE PERFORMANCE**

Mean aortic valve pressure gradient at 30 days compared to a performance goal of 18 mmHg

- **PRIMARY ENDPOINT – SAFETY**

30-Day all-cause mortality

Additional REPRISE II Endpoints



VARC 2 Metrics

Included in VARC Safety Composite

Safety

- Cardiovascular mortality
- Stroke
- Life-threatening/disabling bleed
- Acute kidney injury (Stage 2/3)
- Coronary obstruction (periproc.)
- Major vascular complications
- Repeat procedure for valve dysfunction
- MI (periprocedural & spontaneous)
- Hospitalization for valve-related symptoms or CHF
- New permanent pacemaker
- New-onset atrial fibrillation
- Prosthetic valve endocarditis, thrombosis, migration, embolization
- Cardiac tamponade (periproc.)

Effectiveness

- NYHA class
- 5-meter gait speed (1 year vs. baseline)
- Quality of Life assessments
- Neurological assessments (NIHSS/mRS)

Valve Performance/Echocardiography

- Successful access, delivery, deployment, delivery system retrieval
- Success repositioning, if needed
- Successful valve retrieval, if needed
- Correct valve positioning
- Effective orifice area
- Mean & peak aortic valve gradients
- Peak aortic velocity
- Aortic valve regurgitation grade

REPRISE II Key Inclusion Criteria

- Age ≥ 70 years
- Documented calcified native aortic stenosis
 - AVA $< 1.0 \text{ cm}^2$ (or AVA index $< 0.6 \text{ cm}^2/\text{m}^2$) plus either mean pressure gradient $> 40 \text{ mmHg}$ or jet velocity $> 4 \text{ m/s}$ (by echocardiography)
- High risk for surgical AVR
 - STS score $\geq 8\%$ AND/OR documented heart team agreement of high risk due to frailty or comorbidities
- Symptomatic aortic valve stenosis with NYHA Class $\geq \text{II}$
- Aortic annulus size 19-27 mm
 - 23 mm & 27 mm valve sizes used

REPRISE II Key Exclusion Criteria

Anatomic

- Unicuspid/bicuspid aortic valve, prosthetic valve or ring
- $\geq 3+$ mitral or $\geq 3+$ aortic regurgitation
- LVEF $< 30\%$
- Femoral artery lumen < 6.0 mm (23mm valve) / < 6.5 mm (27mm valve)

Clinical

- AMI within 30 days
- CVA or TIA within 6 months
- Dialysis dependent or Cr > 3.0 mg/dL
- Cardiogenic shock or hemodynamic instability
- Any therapeutic invasive cardiac procedure within 30 days
- GI bleed within 3 months
- Life expectancy < 12 months due to non-cardiac, co-morbid conditions

REPRISE II Study Organization

PRINCIPAL INVESTIGATOR

Ian T. Meredith, MBBS, PhD, Monash Medical Centre, Clayton, Australia

CORE LABORATORIES

Angiography
& CT/X-ray

Jeffrey J. Popma, MD (Director)
Harvard Medical Faculty Physicians at Beth Israel
Deaconess Medical Center, Boston, MA, USA

Echocardiography

Neil J. Weissman, MD (Director)
MedStar Health Research Institute, Washington, DC, USA

Electrocardiography

Peter J. Zimetbaum, MD (Director)
Harvard Clinical Research Institute, Boston, MA, USA

Pathology

Renu Virmani, MD (Director)
CV Path Institute, Inc., Gaithersburg, MD, USA

CLINICAL EVENTS COMMITTEE

Sergio Waxman, MD (IC, Chair)

Carey Kimmelstiel, MD (IC)

Gregory Smaroff, MD (CT Surg)

Roberto Rodriguez, MD (CT Surg)

Viken Babikian, MD (Neurologist)

Enrollment



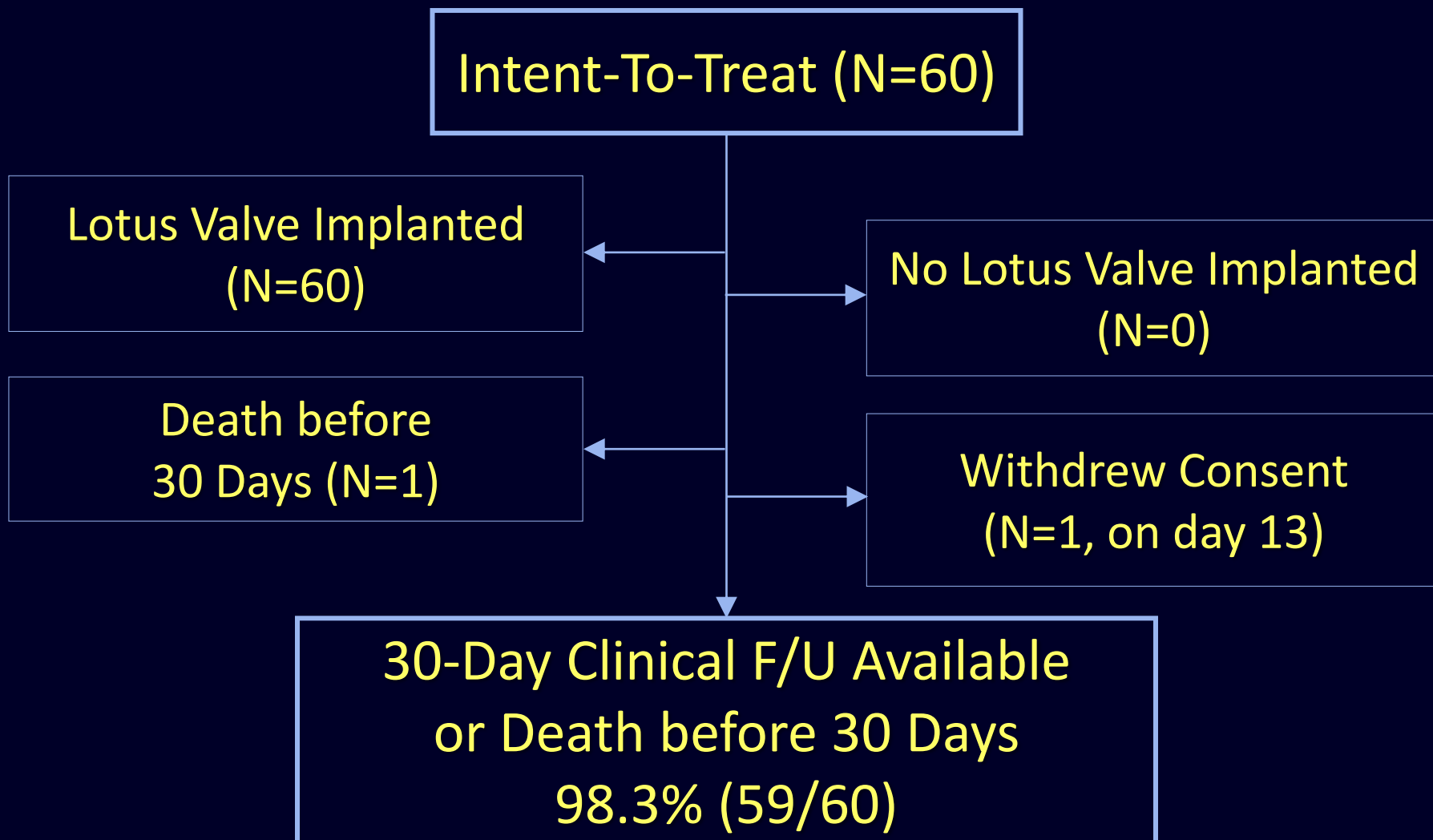
REPRISE II – First 60 Patients

| Investigator | Patients* |
|---|-----------|
| Ian Meredith Monash Medical Centre, Clayton, Australia | 19 |
| Darren Walters The Prince Charles Hospital, Brisbane, Australia | 18 |
| Stephen Worthley Royal Adelaide Hospital, Adelaide, Australia | 9 |
| Didier Tchétché Clinique Pasteur, Toulouse, France | 5 |
| Robert Whitbourn St. Vincent's Hospital (Melbourne), Fitzroy, Australia | 4 |
| Nicolas Dumonteil Centre Hôpital Universitaire Rangueil , Toulouse, France | 4 |
| Gilles Rioufol Hôpital Cardiologique de Lyon, Bron, France | 1 |

*Enrolled October 2012 through January 2013

Study Flow

Intent-To-Treat Population (First 60 Patients)



Baseline Demographics



REPRISE II – First 60 Patients

| Parameter | Patients |
|------------------------------|---------------|
| Age (Years) | 85.5±4.3 (60) |
| Gender (Female) | 63.3% (38) |
| STS Score (v 2.73) (%) | 6.4±3.0 (60) |
| euroSCORE 2011 (%) | 6.7±5.1 (60) |
| NYHA Class III or IV | 75.0% (45) |
| Diabetes, treated | 25.0% (15) |
| Hypertension, history | 85.0% (51) |
| Atrial fibrillation, history | 38.3% (23) |
| Coronary artery disease | 60.0% (36) |
| Cerebrovascular accident | 5.0% (3) |
| COPD, mod/severe | 11.7% (7) |

Baseline Frailty, Disability, & Comorbidity *Reprise*

REPRISE II – First 60 Patients

| Parameter | Patients | Frailty/Disability Threshold |
|--|----------|------------------------------|
| Body Mass Index (kg/m ²) | 27.3±5.9 | < 19 |
| 5 Meter gait speed (sec) | 8.5±4.1 | > 6 |
| Falls in the past 6 months | 0.3±0.8 | > 1 |
| Max grip strength average (kg) | 15.0±6.6 | ≤ 18 |
| Katz Index | 5.8±0.7 | < 6 |
| Charlson Comorbidity Index Score | 2.2±1.6 | > 3 |
| Mini-Cognitive Assessment for Dementia | 3.6±1.4 | < 4 |

Baseline Echocardiographic Measurements *Reprise*

REPRISE II – First 60 Patients

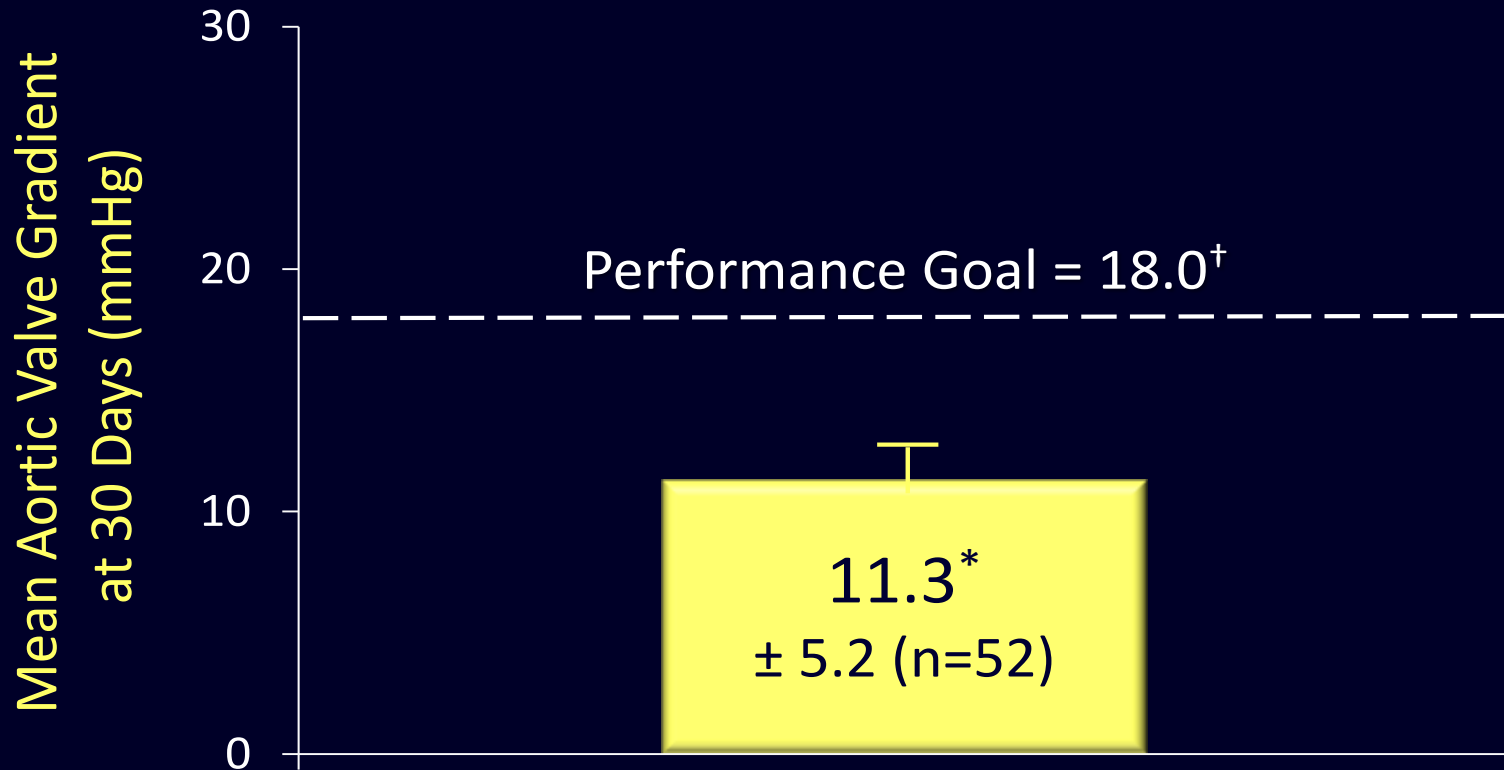
| Parameter* | Patients |
|--------------------------------------|------------------|
| Aortic valve area (cm ²) | 0.6 ± 0.2 (52) |
| Mean aortic gradient (mmHg) | 47.5 ± 17.2 (57) |
| Peak aortic gradient (mmHg) | 78.0 ± 27.5 (57) |
| LVEF (%) | 54.2 ± 8.5 (35) |
| Mitral regurgitation (mod/severe) | 16.7% (10) |
| Aortic regurgitation (mod/severe) | 18.3% (11) |

* All data based on independent assessment from Core Laboratory

Primary Device Performance Endpoint



REPRISE II – First 60 Patients



* Value of 11.3 with a 99.2%[‡] UCB of 13.1
is significantly less than the performance goal ($P < 0.001$)

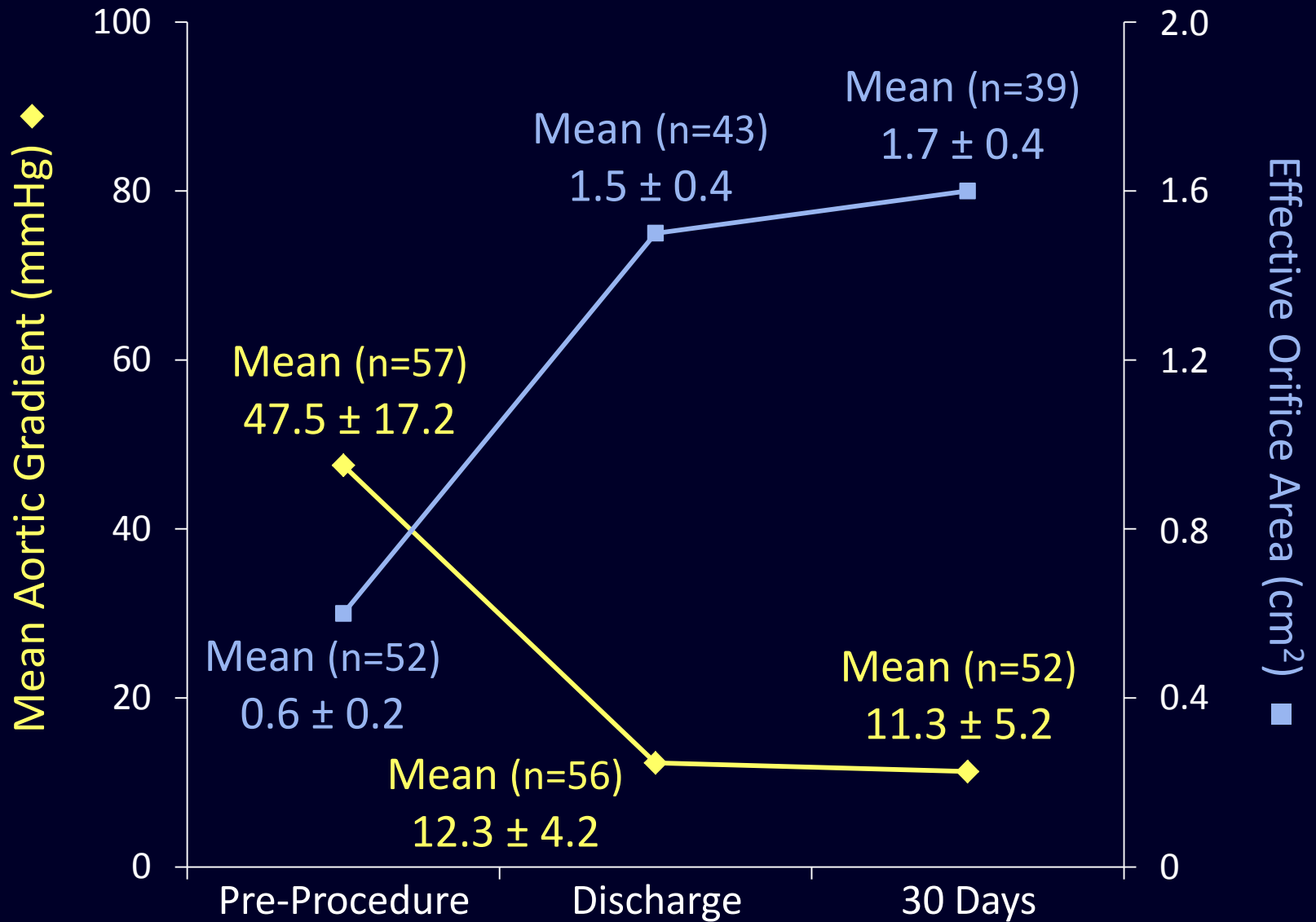
[‡] Alpha-level adjustment for interim analysis

[†] Based on an expected mean of ≤ 15 mmHg (literature review) plus a test margin of 3 mmHg

Mean Aortic Gradient & EOA



REPRISE II – First 60 Patients

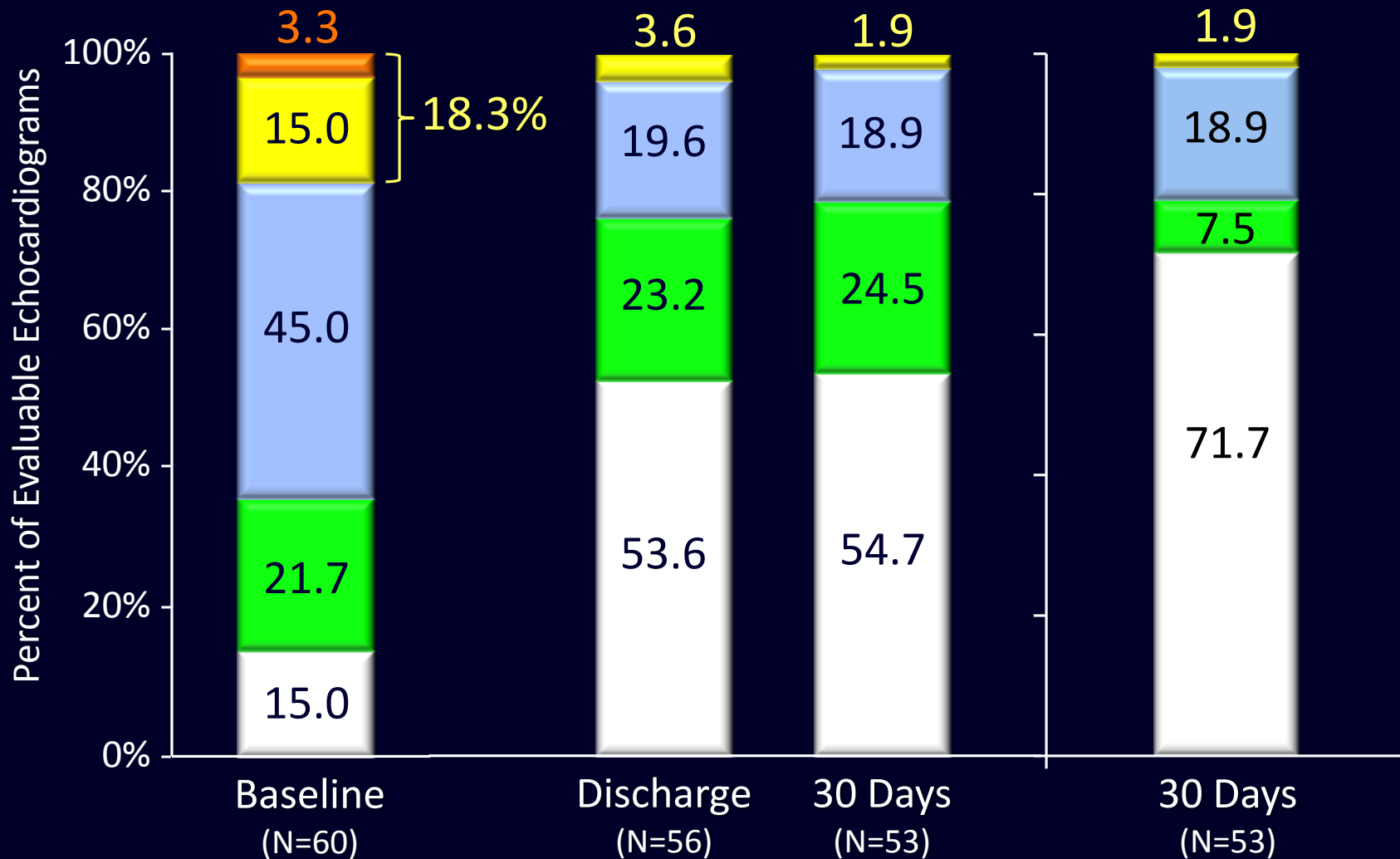


REPRISE II Aortic Regurgitation Over Time *Reprise*

None Trace Mild Moderate Severe

Combined

Paravalvular



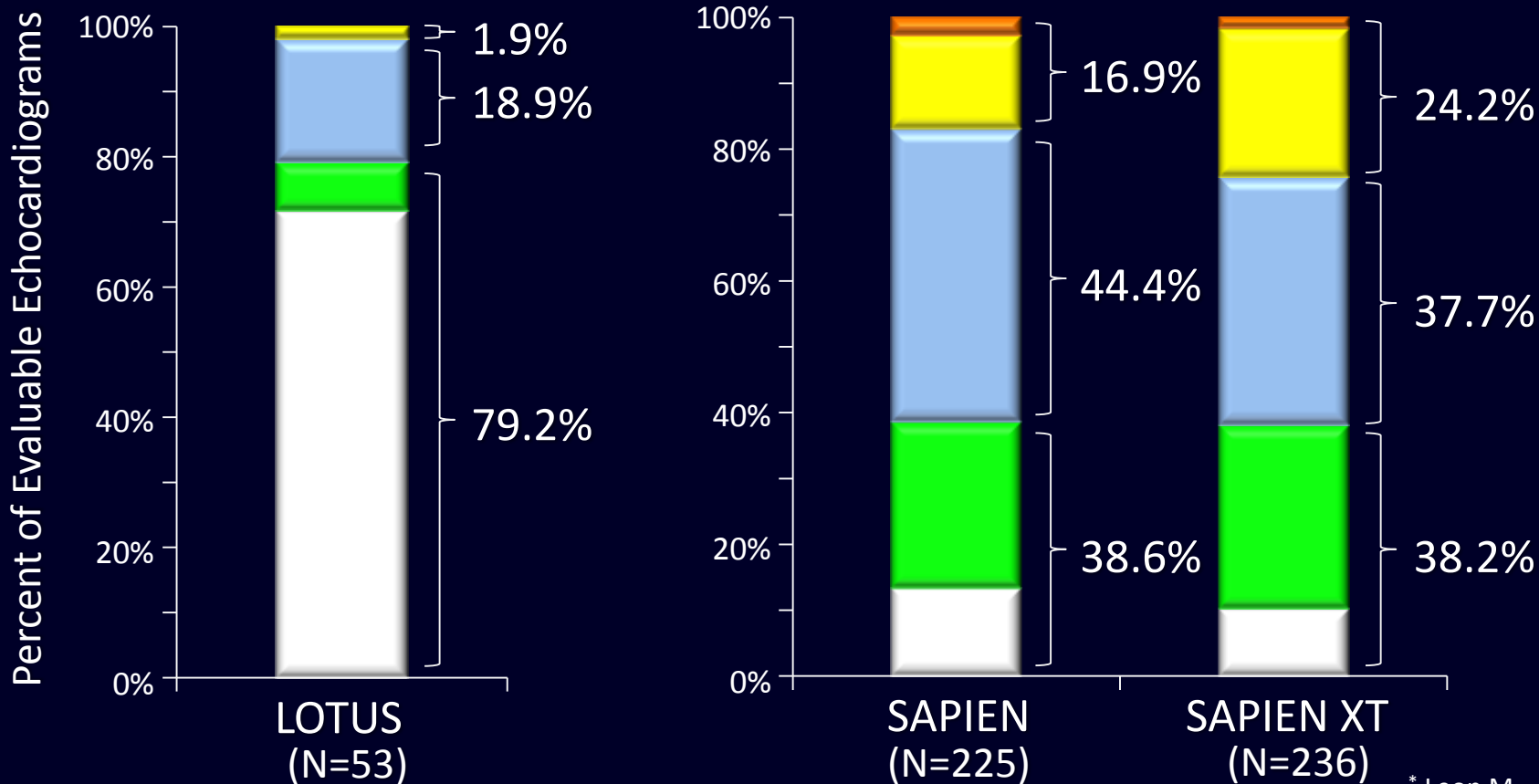
Paravalvular Aortic Regurgitation-30 Days *Reprise*

REPRISE II Comparison with Edwards Valves

None Trace Mild Moderate Severe

REPRISE II

PARTNER II, Inoperable Cohort*



* Leon M., ACC 2013

Valve Performance/Success



REPRISE II (First 60 Patients) - Procedure/Discharge

Device Performance – VARC 2

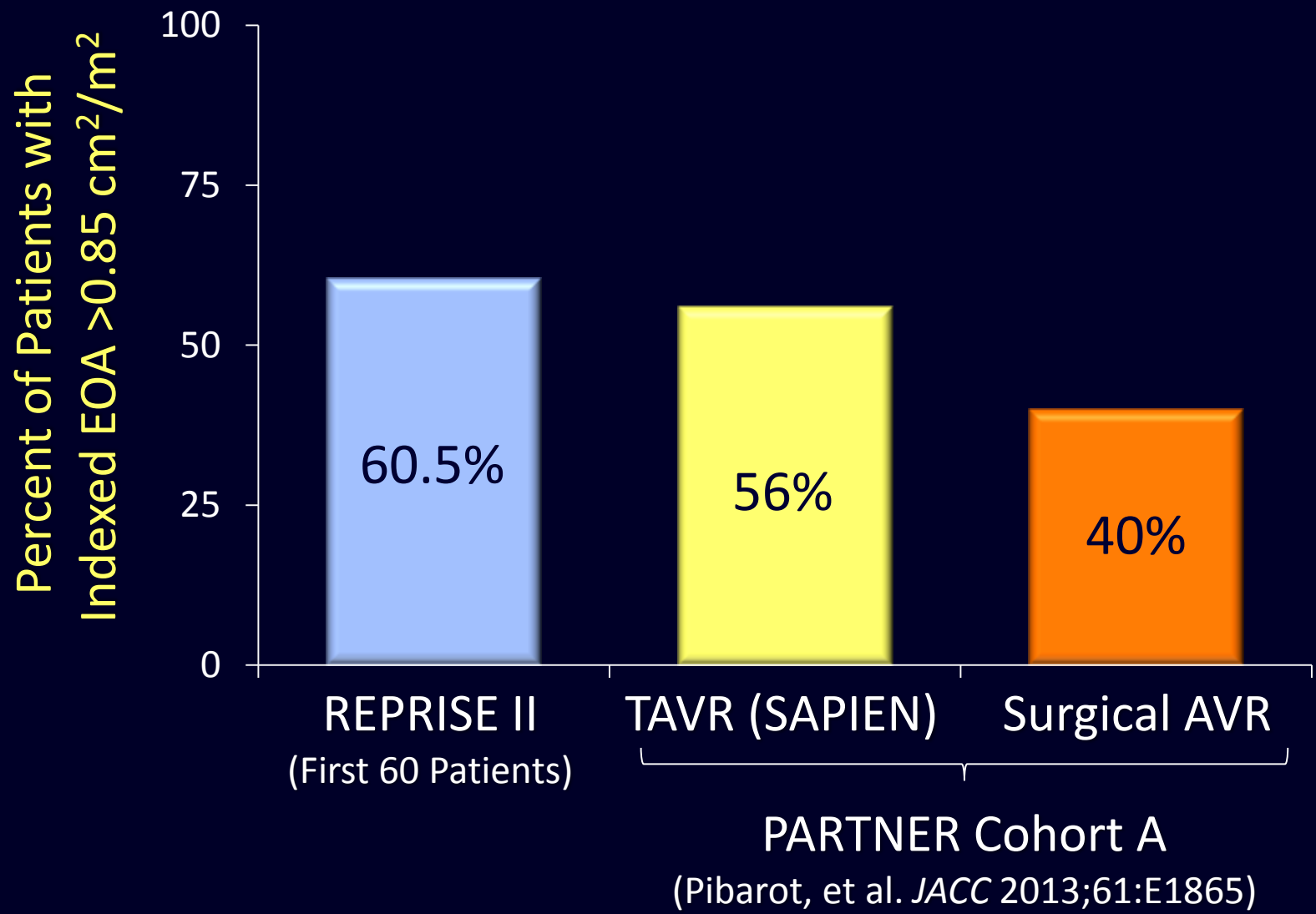
| | |
|--|----------------|
| Access, delivery, deployment, system retrieval | 100.0% (60/60) |
| Valve repositioning, if attempted (n=16) | 100.0% (16/16) |
| Valve retrieval, if attempted (n=4) | 100.0% (4/4) |

Device Success – VARC 2

| | |
|--|----------------|
| Absence of procedural mortality | 98.3% (59/60) |
| Correct positioning of one valve in proper location | 100.0% (60/60) |
| Intended performance (based on discharge echo) | |
| Mean aortic valve gradient <20 mmHg | 94.6% (53/56) |
| Peak velocity <3 m/s | 94.6% (53/56) |
| No moderate/severe prosthetic valve regurgitation | 96.4% (54/56) |
| Indexed EOA >0.85 cm ² /m ² (>0.7 for BMI ≥30) | 60.5% (26/43) |

Indexed Effective Orifice Area Post Implant *Reprise*

REPRISE II Comparison with PARTNER Cohort A



Valve Malpositioning/Other Complications *Reprise*

REPRISE II – First 60 Patients

| Parameter | Patients |
|-----------------------------|----------|
| Aortic valve malpositioning | 0.0% (0) |
| Valve migration | 0.0% (0) |
| Valve embolization | 0.0% (0) |
| Ectopic valve deployment | 0.0% (0) |
| TAV-in-TAV deployment | 0.0% (0) |
| Aortic valve endocarditis | 0.0% (0) |
| Aortic valve thrombosis | 0.0% (0) |

Safety: Death & Stroke at 30 Days



REPRISE II – First 60 Patients

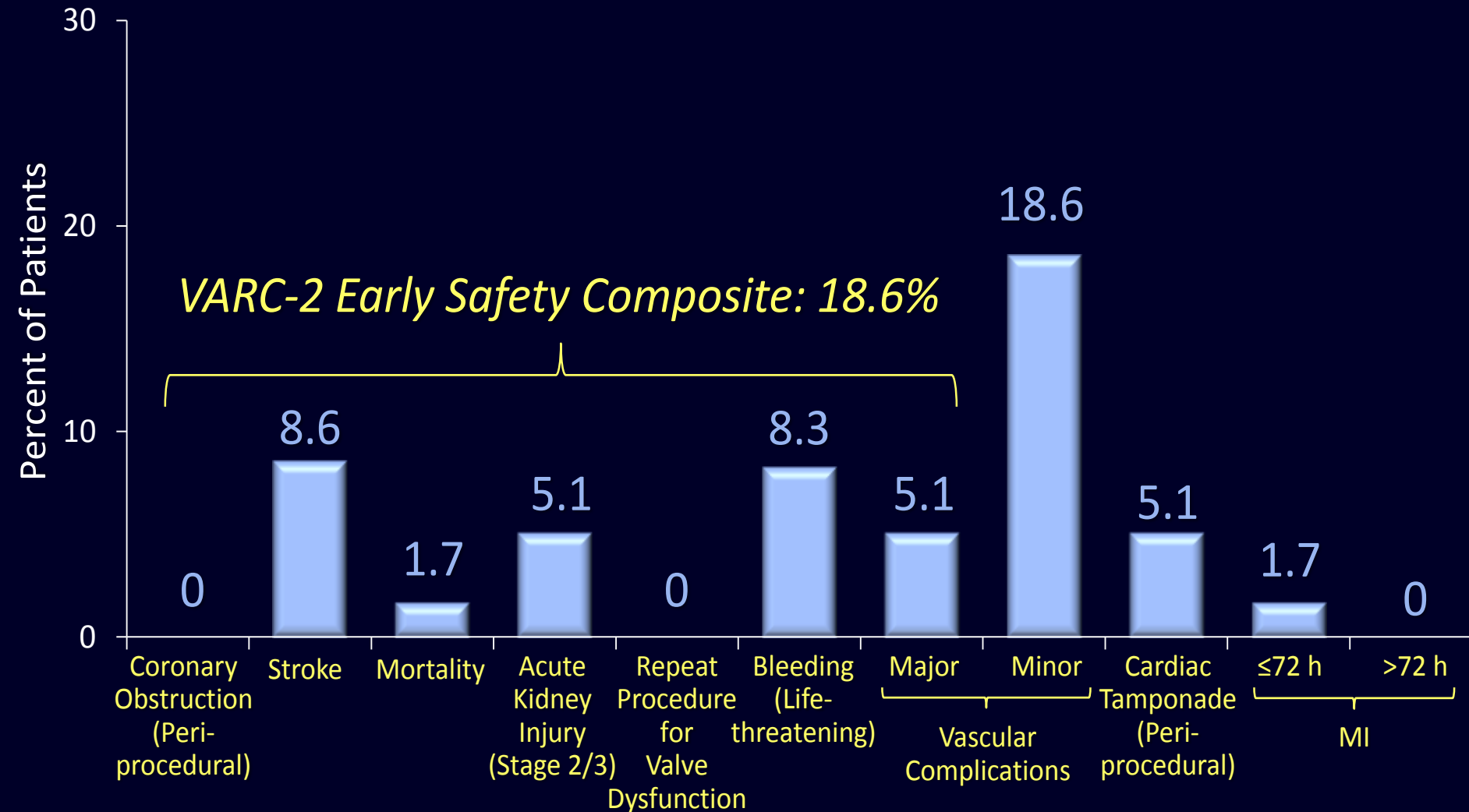
| Event | Patients |
|--|----------|
| All-cause mortality (primary safety endpoint)* | 1.7% (1) |
| Cardiovascular mortality | 1.7% (1) |
| All stroke* | 8.6% (5) |
| Disabling stroke | 3.4% (2) |
| Non-disabling stroke | 5.2% (3) |

* Component of VARC-2 safety composite; all strokes were ischaemic

VARC Safety Composite & Other Endpoints



REPRISE II – First 60 Patients at 30 Days



Pacemaker Implantation



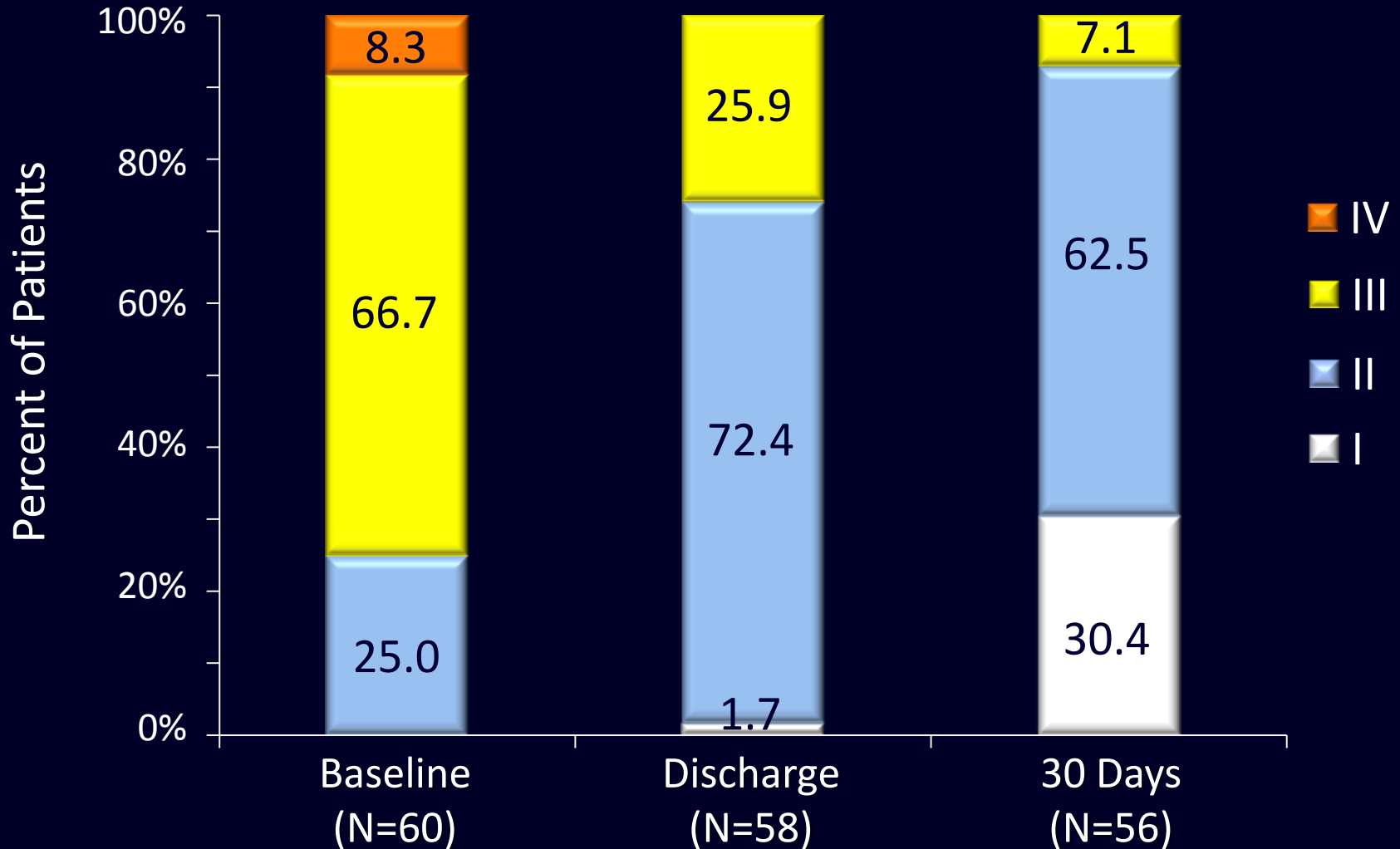
REPRISE II – First 60 Patients

| Variable | Patients |
|---|---------------|
| Newly implanted pacemaker | 29.3% (17/58) |
| Baseline PR prolongation | 41.2% (7/17) |
| Baseline RBBB | 23.5% (4/17) |
| Baseline LBBB | 0.0% (0/17) |
| New conduction disturbance post valvuloplasty | 58.8% (10/17) |
| Paced rhythm at 30 days | 64.7% (11/17) |

| Indication | Patients |
|--|----------|
| 3 rd degree AV block | 15 |
| 1 st degree AV block, RBBB, LAFB | 1 |
| Atrial fibrillation with slow ventricular rate | 1 |

NYHA Class Changes Over Time

REPRISE II – First 60 Patients



Summary & Conclusions



REPRISE II – First 60 Patients

- Successful valve implantation in all 60 patients
- Primary device performance endpoint met
- Low mortality rate at 30 days (1.7%)
- Valve repositioning/retrieval was performed as needed
- No embolization, ectopic valve deployment, or TAV-in-TAV
- Negligible aortic regurgitation
- Clinical event rates consistent with those reported for other valves
- One of the first studies to report outcomes based on VARC-2 metrics

Results suggest the Lotus Valve, a differentiated, 2nd generation TAVI device, may be a valuable addition to the armamentarium for treatment of severe aortic stenosis